

# VII. QCD, jets and gluons

## Quantum Chromodynamics (QCD)

❖ *Quantum Chromodynamics (QCD)* is the theory of strong interactions.

- Interactions are carried out by a massless spin-1 particle – *gauge boson*
- In quantum electrodynamics (QED) gauge bosons are *photons*, in QCD – *gluons*
- Gauge bosons couple to conserved charges: photons in QED – to *electric charges*, and gluons in QCD – to *colour charges*
- The strong interaction acts the same on u,d,s,c,b and t quarks because the strong interaction is **flavour-independent**.



## Gluons carry colour charges themselves !

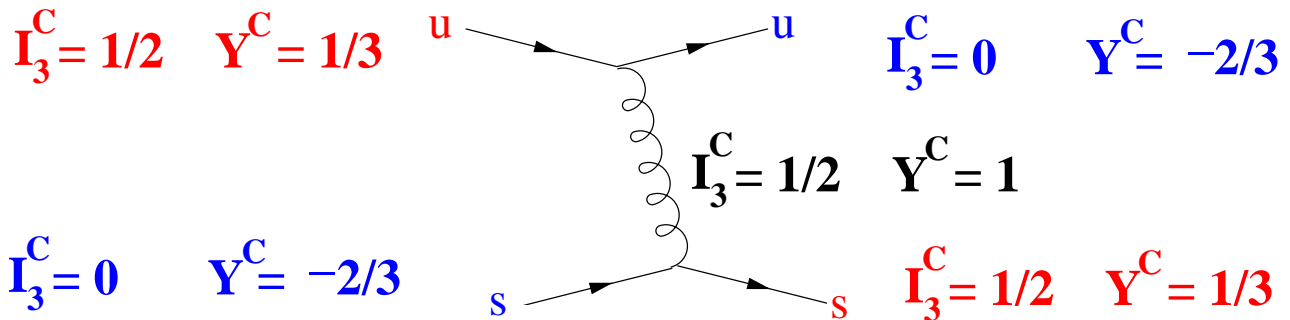


Figure 58: Gluon exchange between quarks.

The colour quantum numbers of the gluon in the figure above are:

$$I_3^C = I_3^C(r) - I_3^C(b) = \frac{1}{2} \tag{86}$$

$$Y^C = Y^C(r) - Y^C(b) = 1$$

Gluon colour wavefunctions:

|  |                |            |
|--|----------------|------------|
| $\chi_{g1}^C = r \bar{g}$  | $I_3^C = 1$    | $Y^C = 0$  |
| $\chi_{g2}^C = \bar{r} g$  | $I_3^C = -1$   | $Y^C = 0$  |
| $\chi_{g3}^C = r \bar{b}$  | $I_3^C = 1/2$  | $Y^C = 1$  |
| $\chi_{g4}^C = \bar{r} b$  | $I_3^C = -1/2$ | $Y^C = -1$ |
| $\chi_{g5}^C = g \bar{b}$  | $I_3^C = -1/2$ | $Y^C = 1$  |
| $\chi_{g6}^C = \bar{g} b$  | $I_3^C = 1/2$  | $Y^C = -1$ |
| $\chi_{g7}^C = 1/\sqrt{2} (g \bar{g} - \bar{r} r)$               | $I_3^C = 0$    | $Y^C = 0$  |
| $\chi_{g8}^C = 1/\sqrt{6} (g \bar{g} - r \bar{r} - 2 b \bar{b})$ | $I_3^C = 0$    | $Y^C = 0$  |

❖ **Gluons can couple to other gluons** since gluons carry colour charge !

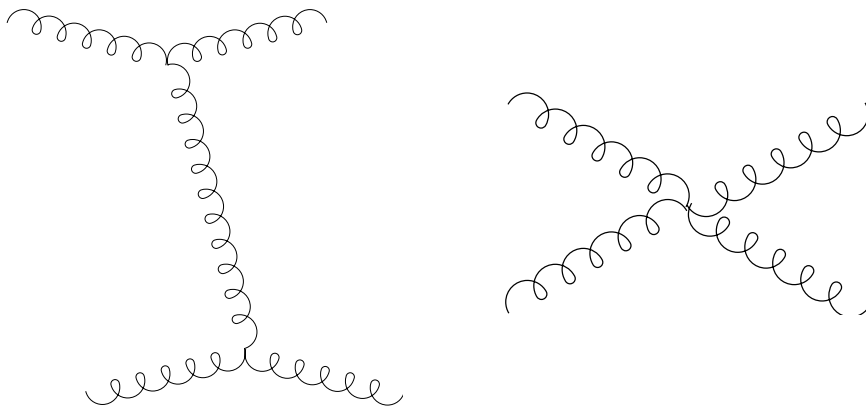


Figure 59: Lowest-order contributions to gluon-gluon scattering.

- All observed states have zero colour charge - **colour confinement**.
- Gluons does **not** exist as **free particles** since they have colour charge.
- Bound colourless states of gluons are called **glueballs** (not detected experimentally yet).



The principle of **asymptotic freedom**:

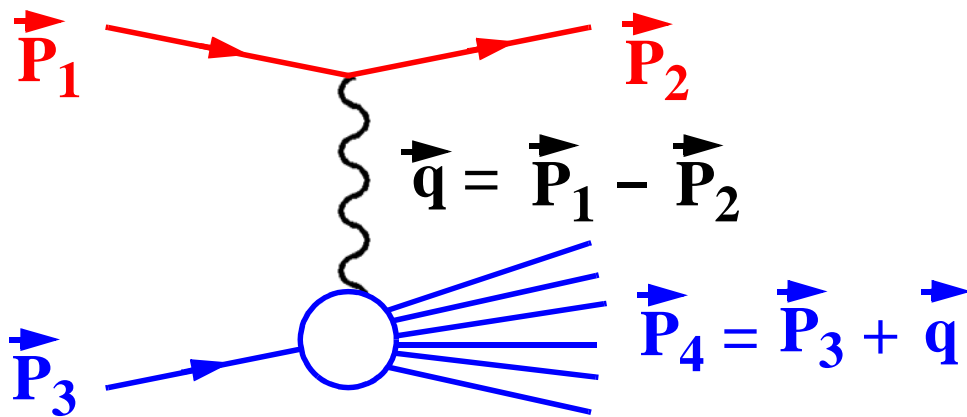
- At **short distances** the strong interactions are weaker  $\Rightarrow$  **quarks** and **gluons** are essentially **free** particles  $\Rightarrow$  the interaction can be described by the lowest order diagrams.
- At **large distances** the strong interaction gets stronger  $\Rightarrow$  the interaction can be described by high-order diagrams.
- The **quark-antiquark potential** is:

$$V(r) = -\frac{4}{3} \frac{\alpha_s}{r} \quad (r < 0, 1 \text{ fm})$$

$$V(r) = \lambda r \quad (r \geq 1 \text{ fm})$$

where  $\alpha_s$  is the strong coupling constant and  $r$  the distance between the quark and the antiquark.

- Due to the complexity of high-order diagrams, the very process of **confinement** can not be calculated analytically  $\Rightarrow$  only **numerical models** are available.



where  $\vec{P}$  and  $\vec{q}$  are energy-momentum four vectors:

$$\vec{P} = (\mathbf{E}, \vec{p}) = (\mathbf{E}, p_x, p_y, p_z)$$

$$\vec{q} = (\mathbf{E}_q, \vec{q}) = \vec{P}_1 - \vec{P}_2 = (\mathbf{E}_1 - \mathbf{E}_2, \vec{P}_1 - \vec{P}_2)$$

the momentum and energy transfer is:

$$q = |\vec{q}| = |\vec{P}_1 - \vec{P}_2|$$

$$v = \mathbf{E}_q = \mathbf{E}_1 - \mathbf{E}_2$$

(where E and P are in the restframe of particle 3).

The energy-momentum transfer is given by:

$$Q^2 = -\vec{q} \cdot \vec{q} = -(\vec{P}_1 - \vec{P}_2)^2$$

$$Q^2 = \vec{q} \cdot \vec{q} - \mathbf{E}_q^2 = (\vec{P}_1 - \vec{P}_2)^2 - (\mathbf{E}_1 - \mathbf{E}_2)^2$$

This can be regarded as the invariant mass of the exchanged gauge boson since the squared mass of a particle is given by  $M^2 = \vec{P} \cdot \vec{P}$

The invariant mass of the hadrons is given by:

$$W^2 = \vec{P}_4 \cdot \vec{P}_4 = (\vec{P}_3 + \vec{q})^2$$

## The strong coupling constant

❖ The strong coupling constant  $\alpha_s$  is the analogue in QCD of  $\alpha_{em}$  and it is a measure of the strength of the interaction.

→  $\alpha_s$  is not a true constant but a “**running constant**” since it decreases with increasing  $Q^2$ .

→ In leading order of QCD,  $\alpha_s$  is given by

$$\alpha_s = \frac{12\pi}{(33 - 2N_f) \ln(Q^2 / \Lambda^2)} \quad (87)$$

Here  $N_f$  is the number of allowed **quark flavours**, and  $\Lambda \approx 0.2$  GeV is the QCD scale parameter which has to be defined experimentally.

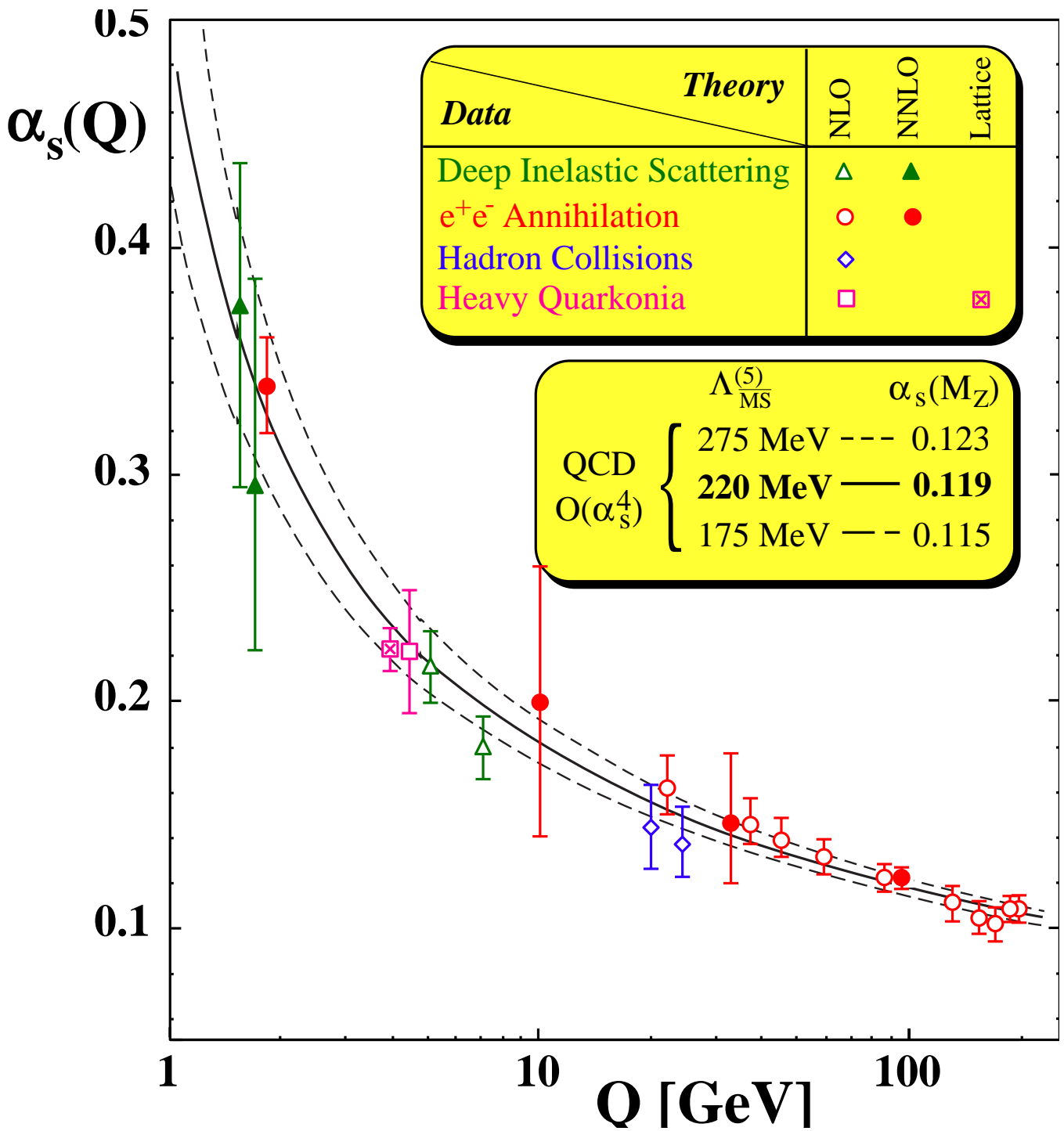
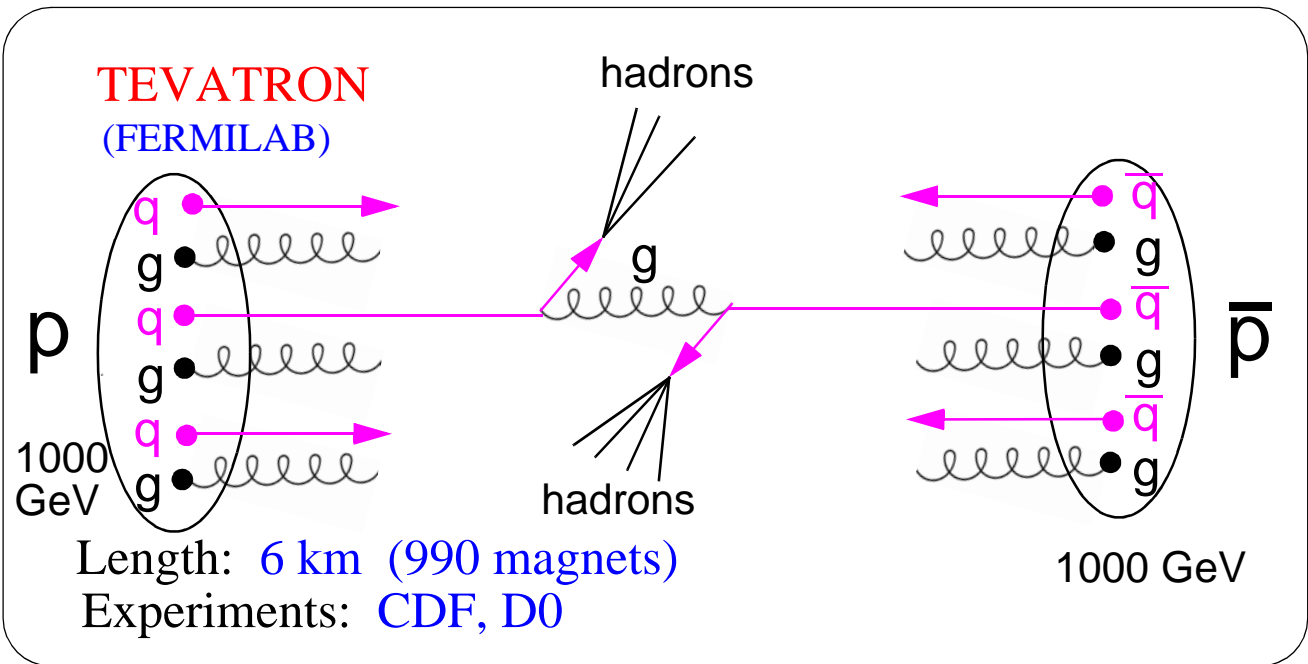
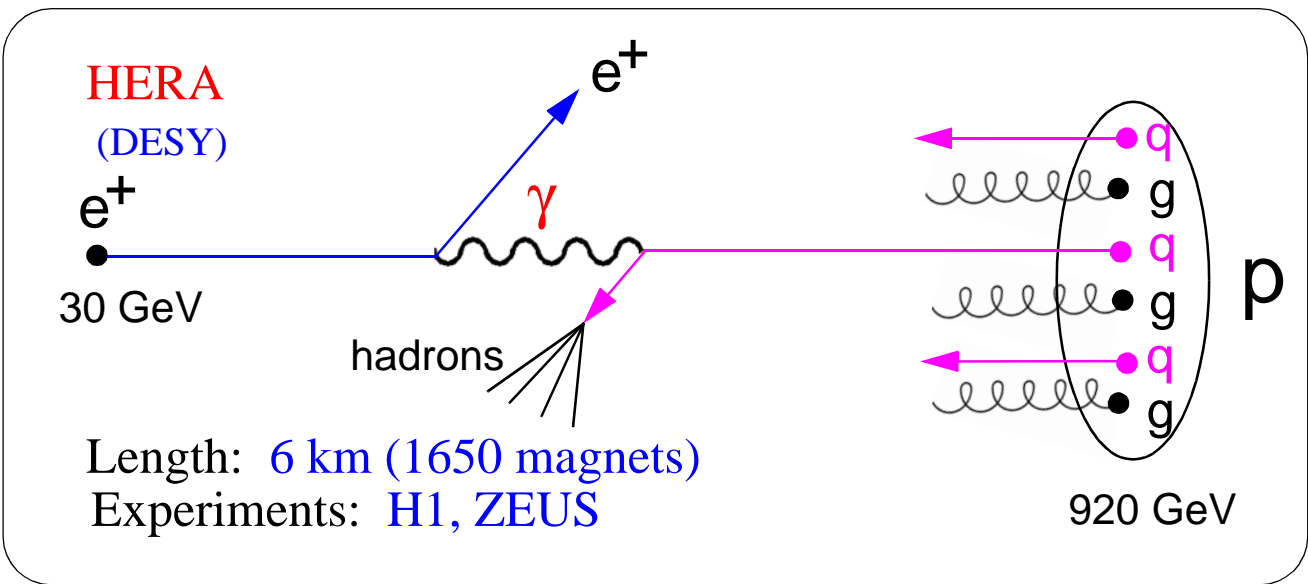
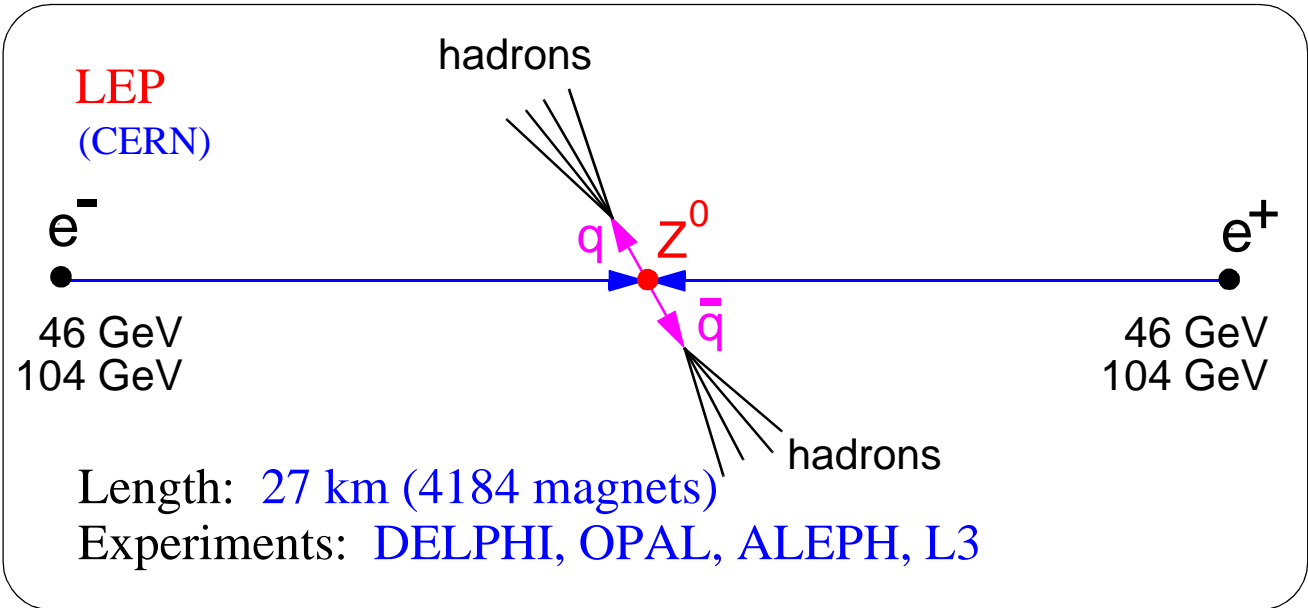


Figure 60: The running of the strong coupling constant.

# Different types of accelerators





## Electron-positron annihilation

A clean process with which to study QCD is:

$$e^+ + e^- \rightarrow \gamma^* \rightarrow \text{hadrons} \quad (88)$$

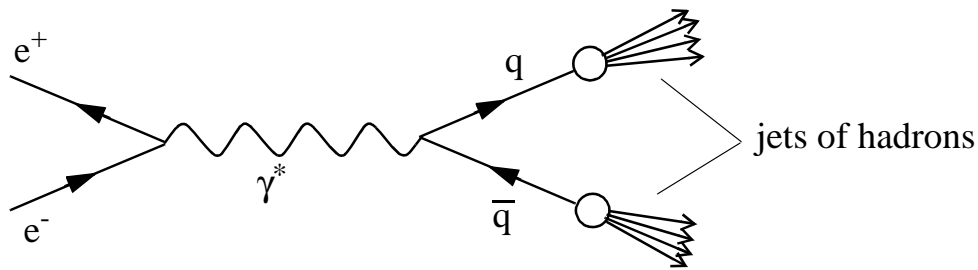


Figure 61:  $e^+e^-$  annihilation into hadrons.

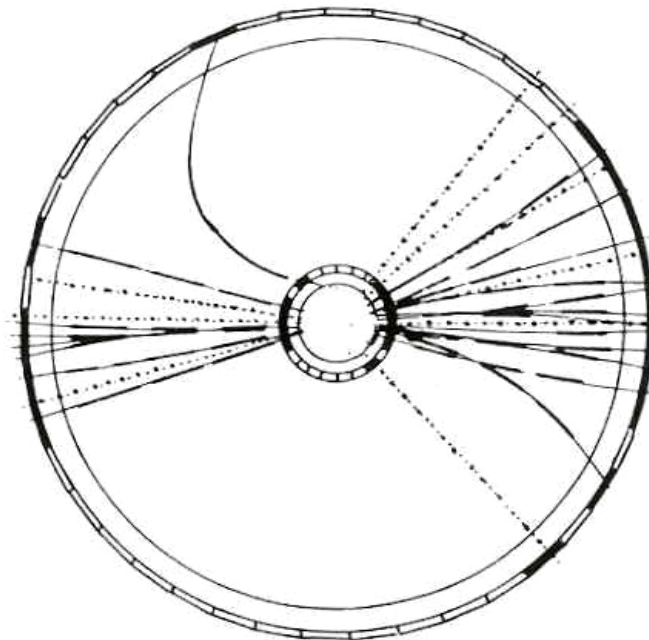


Figure 62: An  $e^+e^-$  annihilation event in which two quark jets were created (the event was recorded by the JADE experiment at DESY).

- ❖ In the lowest order  $e^+e^-$  annihilation process, a **photon or a  $Z^0$**  is produced which converts into a **quark-antiquark** pair.
- The quark and the antiquark **fragment** into observable hadrons.
- Since the quark and antiquark momenta are equal and counterparallel, hadrons are produced in two **jets** of equal energies going in opposite direction.
- The **direction** of a jet reflects the direction of the corresponding quark.

→ The **total cross-section** of  $e^+e^- \rightarrow \text{hadrons}$  is often expressed as:

$$R \equiv \frac{\sigma(e^+e^- \rightarrow \text{hadrons})}{\sigma(e^+e^- \rightarrow \mu^+\mu^-)}$$

The cross section for muon production and hadron production is the same if the number of quark flavours and colours are taken into account:

$$R = N_c \sum e_q^2$$

→ Here  $N_c = 3$  is the **number of colours** and  $e_q$  is the **charge** of the quarks.

→ If  $\sqrt{s} < m_\psi$  then

$$R = N_c(e_u^2 + e_d^2 + e_s^2) = 3 \left( (-1/3)^2 + (-1/3)^2 + (2/3)^2 \right) = 2$$

If  $\sqrt{s} < m_\gamma$  then  $R = N_c(e_u^2 + e_d^2 + e_s^2 + e_c^2) = 10/3$  and

If  $\sqrt{s} > m_\gamma$  then  $R = N_c(e_u^2 + e_d^2 + e_s^2 + e_c^2 + e_b^2) = 11/3$

→ If the **radiation of hard gluons** is taken into account, an extra factor proportional to  $\alpha_s$  has to be added:

$$R = 3 \sum_q e_q^2 \left( 1 + \frac{\alpha_s(Q^2)}{\pi} \right)$$

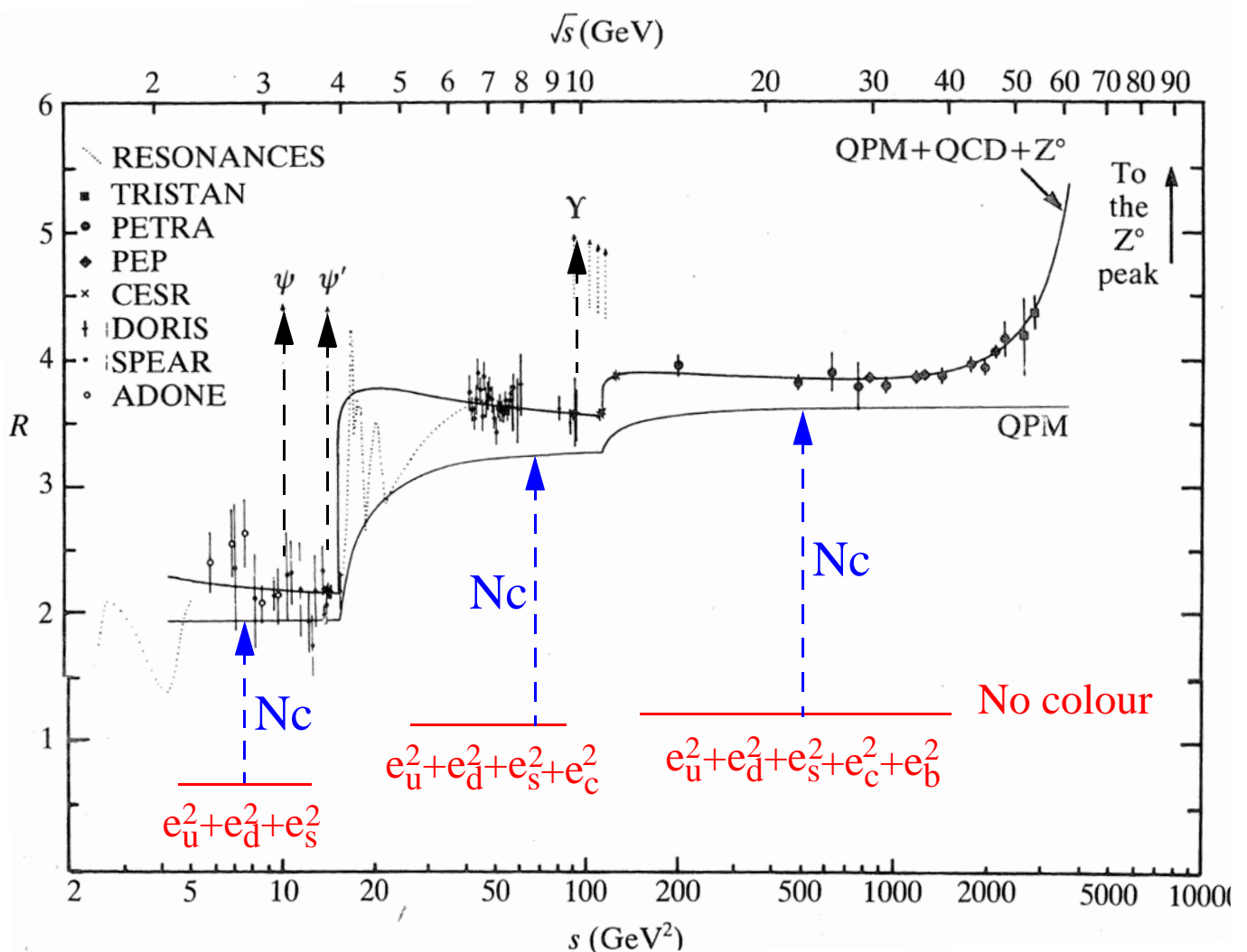


Figure 63: The measured R-value and the predicted R-value for different theoretical assumptions.

❖ A study of the angular distribution of the jets give information about the **spin of the quarks**.

→ The angular distribution of the process

$e^+ + e^- \rightarrow \gamma^* \rightarrow \mu^+ + \mu^-$  is given by:

$$\frac{d\sigma}{d\cos\theta}(e^+e^- \rightarrow \mu^+\mu^-) = \frac{\pi\alpha^2}{2Q^2}(1 + \cos^2\theta)$$

where  $\theta$  is the production angle with respect to the direction of the colliding electrons.

→ If **quarks** have **spin 1/2** they should have the following angular distribution:

$$\frac{d\sigma}{d\cos\theta}(e^+e^- \rightarrow q\bar{q}) = N_c e_q^2 \frac{\pi\alpha^2}{2Q^2}(1 + \cos^2\theta)$$

where  $e_q$  is the fractional charge of a quark and  $N_c = 3$  is the number of colours.

→ If **quarks** have **spin 0** the angular distribution should be:

$$\frac{d\sigma}{d\cos\theta}(e^+e^- \rightarrow q\bar{q}) = N_c e_q^2 \frac{\pi\alpha^2}{2Q^2}(1 - \cos^2\theta)$$

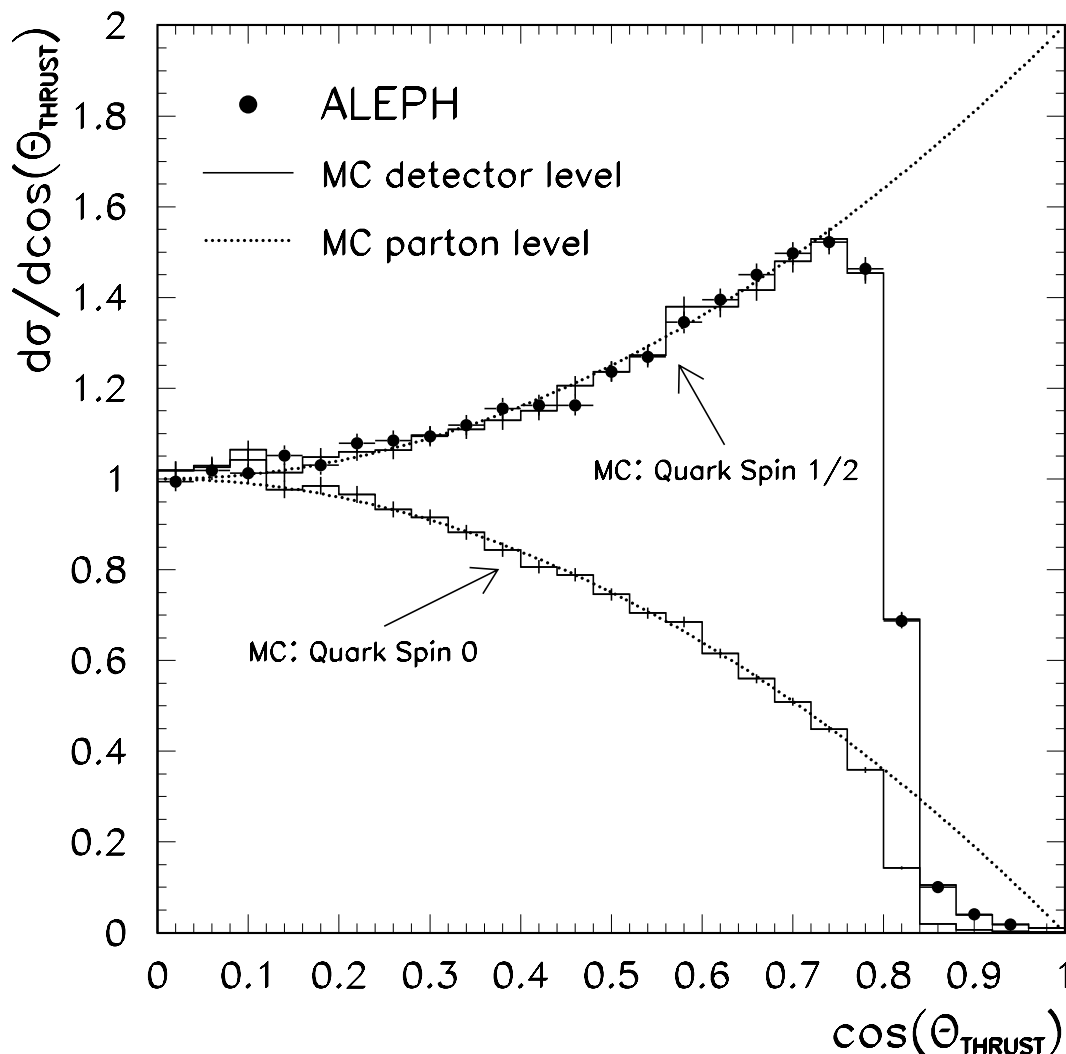


Figure 64: The angular distribution of the quark jet in  $e^+e^-$  annihilations, compared with models.

→ The experimentally measured angular dependence of jets is clearly following  $(1+\cos^2\theta)$   
 $\Rightarrow$  jets are associated with spin-1/2 quarks.

→ If a high-momentum (hard) gluon is emitted by a quark or antiquark, it fragments to a jet of its own, which leads to a **three-jet event**:

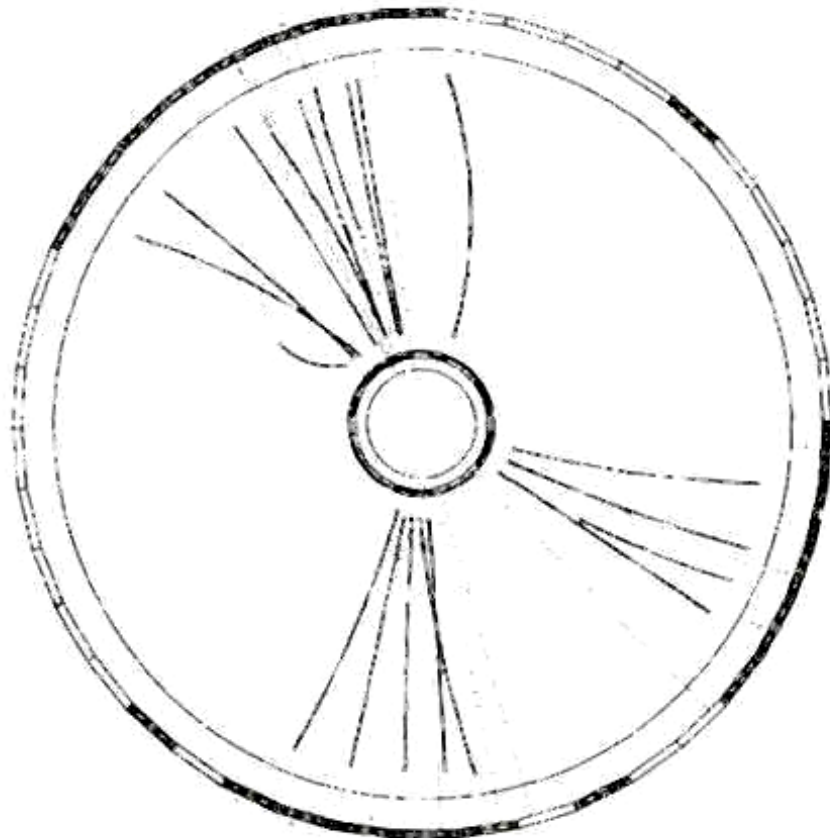
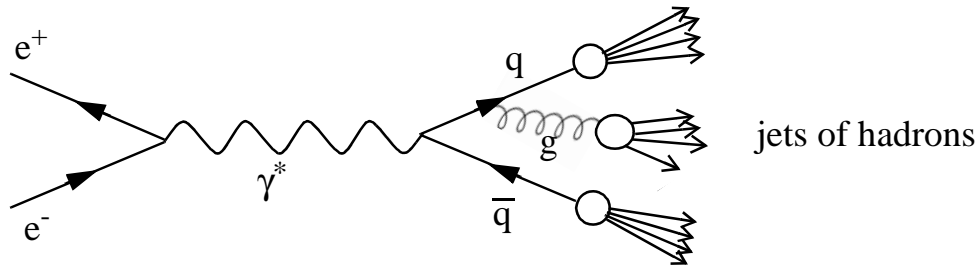


Figure 65: A three-jet event in an  $e^+e^-$  annihilation as seen by the JADE experiment.

❖ The **probability** for a quark to emit a **gluon** is proportional to  $\alpha_s$  and by comparing the rate of two-jet and three-jet events one can determine  $\alpha_s$ .

→  $\alpha_s = 0.15 \pm 0.03$  for  $E_{CM} = 30$  to  $40$  GeV

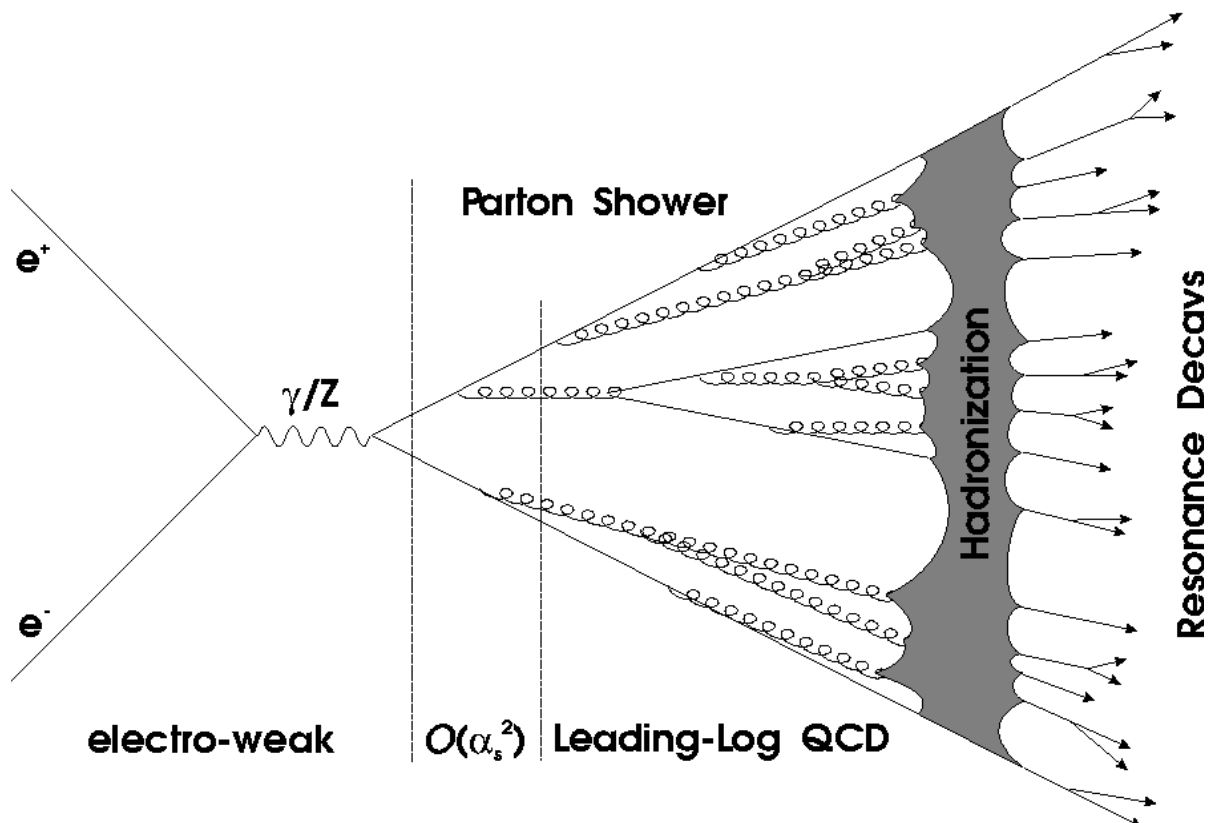


Figure 66: The principal scheme of hadron production in  $e^+e^-$  annihilations. Hadronization (= fragmentation) begins at distances of order 1 fm between the partons.



❖ By measuring angular distributions of jets one can confirm models where **gluons** are **spin-1** bosons. This is done by measuring:

$$\cos\phi = \frac{\sin\theta_2 - \sin\theta_3}{\sin\theta_1}$$

where the angles are described below:

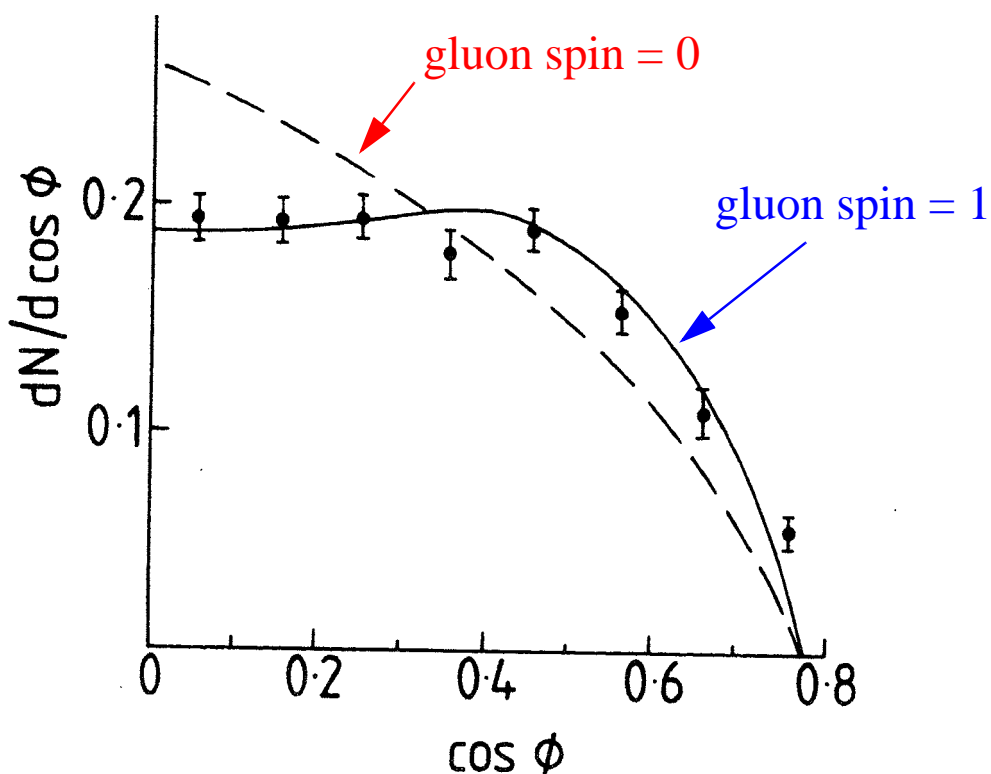
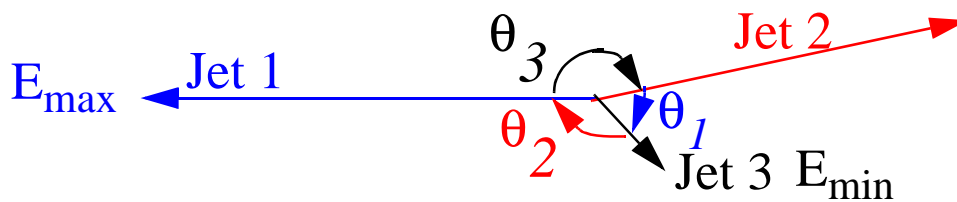


Figure 67: An angular distribution of jets compared to QCD calculations with a spin 0 and a spin 1 gluon.

## Elastic electron-proton scattering

- ❖ Beams of **leptons** are good **tools** for investigating the properties of hadrons since leptons have **no substructure**.
- **Elastic lepton-hadron scattering** can be used to measure the **size** of the **hadron**.
- **Elastic scattering** means that the same type of particles goes into and comes out of the scattering process.

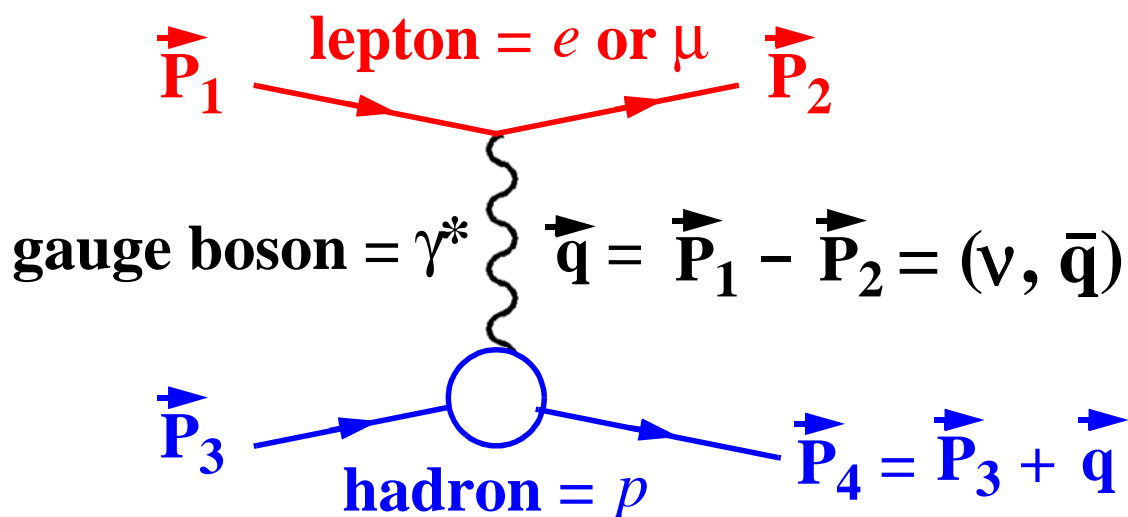
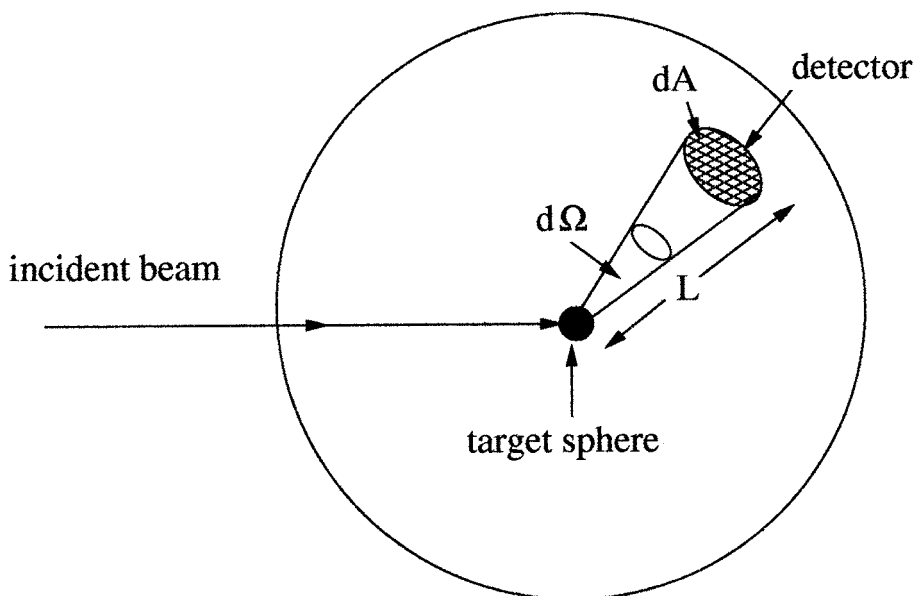


Figure 68: The dominant one-photon exchange mechanism in elastic lepton-proton scattering.

❖ The angular distribution of the particles emerging from a scattering reaction is given by the **differential cross-section**

$$\frac{d\sigma(\theta, \varphi)}{d\Omega} \quad \text{where} \quad d\Omega = \sin\theta d\theta d\varphi$$



$$d\Omega = \frac{dA}{L^2}$$

Figure 69: The definition of the solid angle  $d\Omega$  in scattering experiments.

➔ The **total cross section** of the reaction is obtained by integrating the differential cross section:

$$\sigma = \int \frac{d\sigma(\theta, \varphi)}{d\Omega} d\Omega = \int_0^\pi \int_0^{2\pi} \frac{d\sigma(\theta, \varphi)}{d\Omega} \sin\theta d\theta d\varphi$$

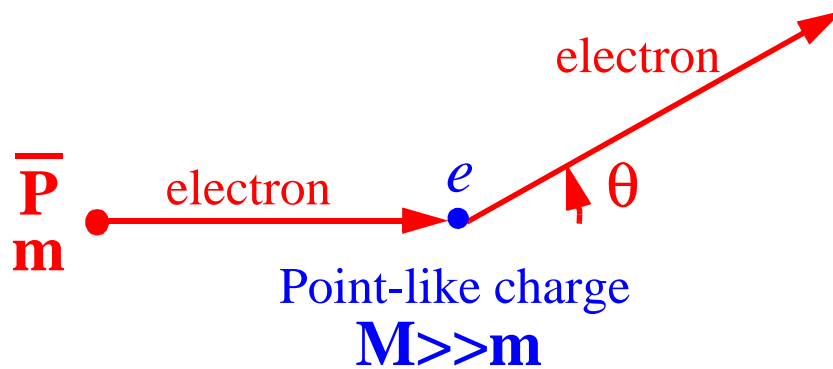


Figure 70: The scattering of an electron on a static point-like electrical charge.

→ The angular distribution of a relativistic electron of momentum  $p$  which is scattered by a point-like static electric charge  $e$  is described by the **Mott scattering formula**:

$$\left(\frac{d\sigma}{d\Omega}\right)_M = \frac{\alpha^2}{4p^4 \sin^4\left(\frac{\theta}{2}\right)} \left(m^2 + p^2 \cos^2\frac{\theta}{2}\right)$$

In the low energy limit  $p \ll m$ , the Mott scattering formula is reduced to the non-relativistic **Rutherford scattering formula**:

$$\left(\frac{d\sigma}{d\Omega}\right)_R = \frac{m^2 \alpha^2}{4p^4 \sin^4\left(\frac{\theta}{2}\right)} \quad \text{where } \alpha = \frac{e^2}{4\pi}$$

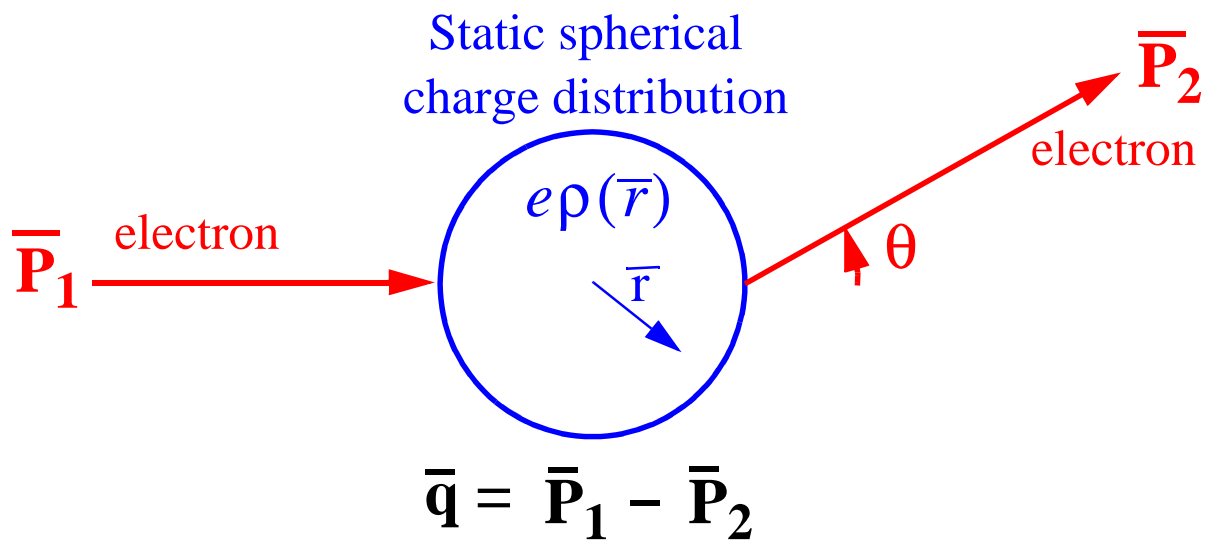


Figure 71: The scattering of an electron on a static spherical charge distribution.

If the electric charge is not point-like, but it is spread out with a **spherically symmetric density distribution**, i.e.,  $e \rightarrow e\rho(r)$ , where  $\rho(r)$  is normalized:

$$\int \rho(r) d^3\vec{x} = 1$$

then the Rutherford scattering formula has to be modified by an **electric form factor**  $G_E^2(q^2)$ :

$$\frac{d\sigma}{d\Omega} = \left( \frac{d\sigma}{d\Omega} \right)_R G_E^2(q^2) \quad (89)$$

→ The **electric form factor** is the **Fourier transform of the charge distribution** with respect to the momentum transfer  $\bar{q}$  :

$$G_E(q^2) = \int \rho(r) e^{i\bar{q} \cdot \bar{x}} d^3\bar{x} \quad (90)$$

– For  $q = 0$ ,  $G_E(0) = 1$  (low momentum transfer)

– For  $q^2 \rightarrow \infty$ ,  $G_E(q^2) \rightarrow 0$  (large momentum transfer)

→ Measurements of the **cross-section** can be used to determine the **form-factor** and hence the charge distribution.

The **mean quadratic charge radius** is for example given by

$$r_E^2 = \int r^2 \rho(r) d^3\bar{x} = -6 \left. \frac{dG_E(q^2)}{dq^2} \right|_{q^2=0} \quad (91)$$

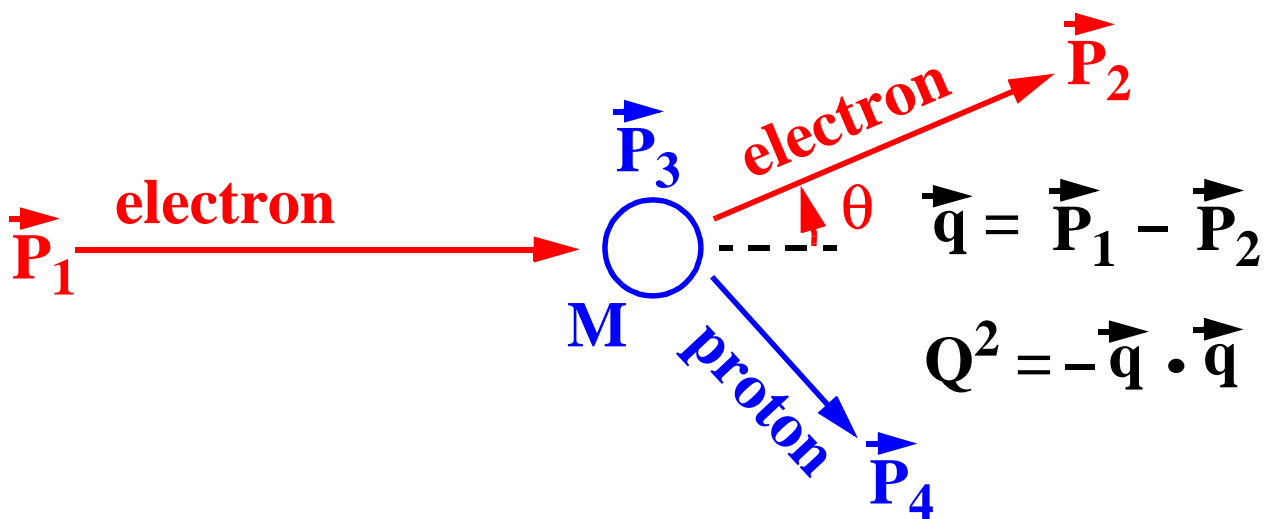


Figure 72: Elastic electron-proton scattering when the recoil energy of the proton is taken into account.

→ Scattering of electrons on protons depend not only on the **electric form factor  $G_E$**  but also on a **magnetic form factor  $G_M$**  which is associated with the magnetic moment distribution.

$$\frac{d\sigma}{d\Omega} = \left( \frac{d\sigma}{d\Omega} \right)_M \times \left( G_1(Q^2) \cos^2 \frac{\theta}{2} + \frac{Q^2}{2M^2} G_2(Q^2) \sin^2 \frac{\theta}{2} \right)$$

$$G_1(Q^2) = \frac{G_E^2 + \frac{Q^2}{4M^2} G_M^2}{1 + \frac{Q^2}{4M^2}} \quad G_2(Q^2) = G_M^2$$

→ Measurement of the form factors are conveniently divided into three  $Q^2$  regions:

1) **low  $Q^2$**   $\Rightarrow Q \ll M \Rightarrow G_E$  dominates the cross-section and  $r_E$  can be precisely measured:

$$r_E = 0,85 \pm 0,02 \text{ fm} \quad (92)$$

2) An **intermediate** range:  $0.02 \leq Q^2 \leq 3 \text{ GeV}^2 \Rightarrow$  both  $G_E$  and  $G_M$  give sizeable contribution  $\Rightarrow$  the result can be given by the parameterization:

$$G_E(Q^2) \approx \frac{G_M(Q^2)}{\mu_p} \approx \left( \frac{\beta^2}{\beta^2 + Q^2} \right)^2 \quad (93)$$

with  $\beta^2 = 0.84 \text{ GeV}^2$

3) **high  $Q^2$**   $> 3 \text{ GeV}^2 \Rightarrow G_M$  dominates the cross section:



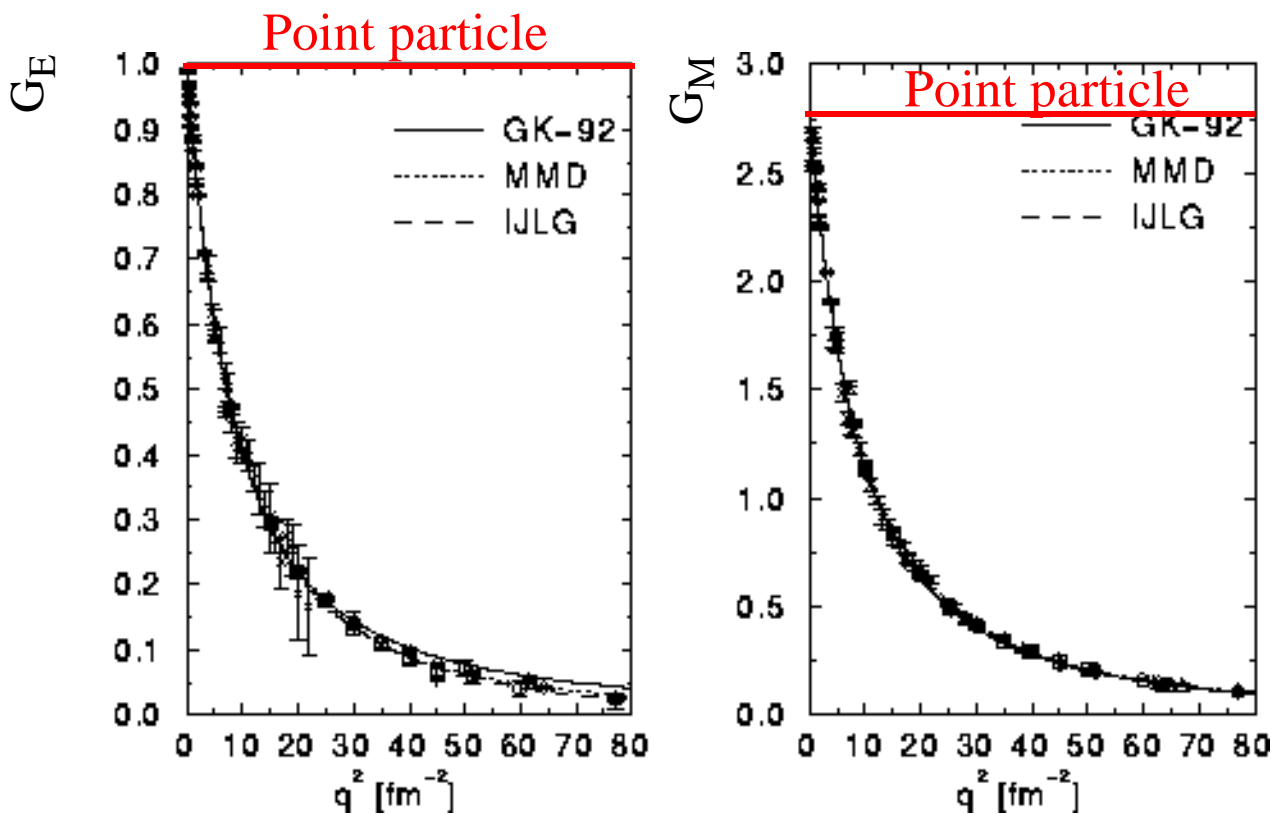


Figure 73: Electric and magnetic proton form-factors, compared with different parameterizations.

The form factors are normalized so that

$$G_E(0) = \text{total charge} \quad = 1 \quad (\text{p})$$

$$\quad \quad \quad \quad \quad \quad \quad = 0 \quad (\text{n})$$

$$G_M(0) = \text{magnetic moment} = \mu_p = +2.79 \quad (\text{p})$$

$$\quad \quad \quad \quad \quad \quad \quad = \mu_n = -1.91 \quad (\text{n})$$



If the proton is a point particle then

$$G_E(Q^2) = 1 \quad \text{and} \quad G_M(Q^2) = 2,79$$

## Inelastic lepton-proton scattering

❖ Inelastic electron-proton scattering can be used to **probe the proton structure** and gave the first evidence for the existence of quarks.

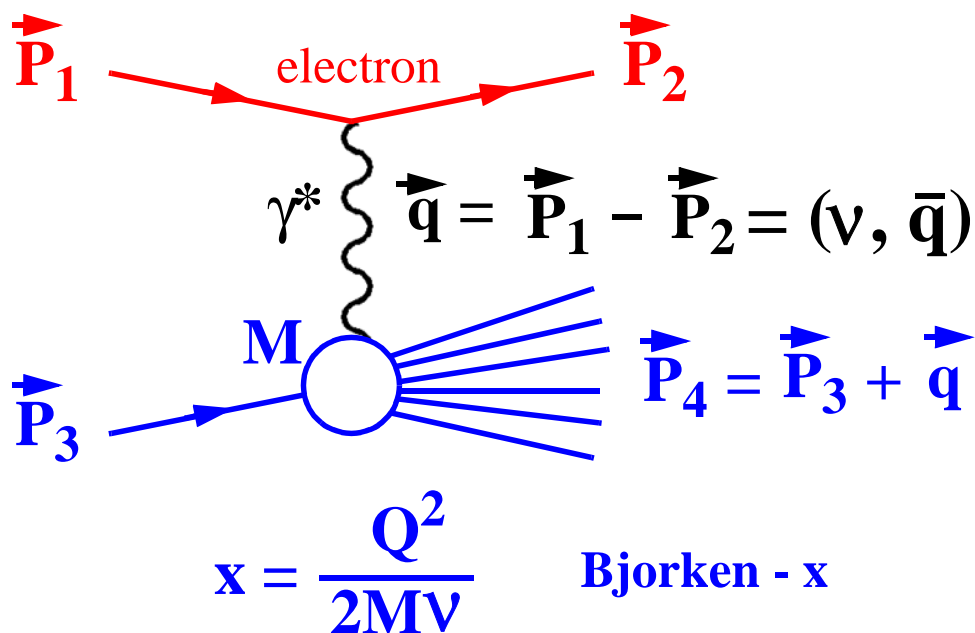


Figure 74: One-photon exchange in inelastic lepton-proton scattering.

➔ In inelastic lepton-proton scattering a new dimensionless variable called the **Bjorken scaling variable  $x$**  is introduced where  $0 < x < 1$ .

→ The differential cross section for inelastic electron-proton scattering can be written as:

$$\frac{d\sigma}{dE_2 d\Omega} = \frac{\alpha^2}{4E_1^2 \sin^4\left(\frac{\theta}{2}\right)} \cdot \frac{1}{v} \cdot \left[ F_2(x, Q^2) \cos^2\left(\frac{\theta}{2}\right) + \frac{Q^2}{xM^2} F_1(x, Q^2) \sin^2\left(\frac{\theta}{2}\right) \right]$$

→ The two dimensionless **structure functions**  $F_1(x, Q^2)$  and  $F_2(x, Q^2)$  parameterize the photon-proton interaction in the same way as  $G_1(Q^2)$  and  $G_2(Q^2)$  in elastic scattering.

→ **Bjorken scaling or scale invariance:**

$$F_{1,2}(x, Q^2) \approx F_{1,2}(x)$$

i.e. for  $Q \gg M$ , **structure functions** are almost **independent of  $Q^2$** . If all particle masses, energies and momenta are multiplied by a scale factor, structure functions at any given  $x$  remain unchanged.

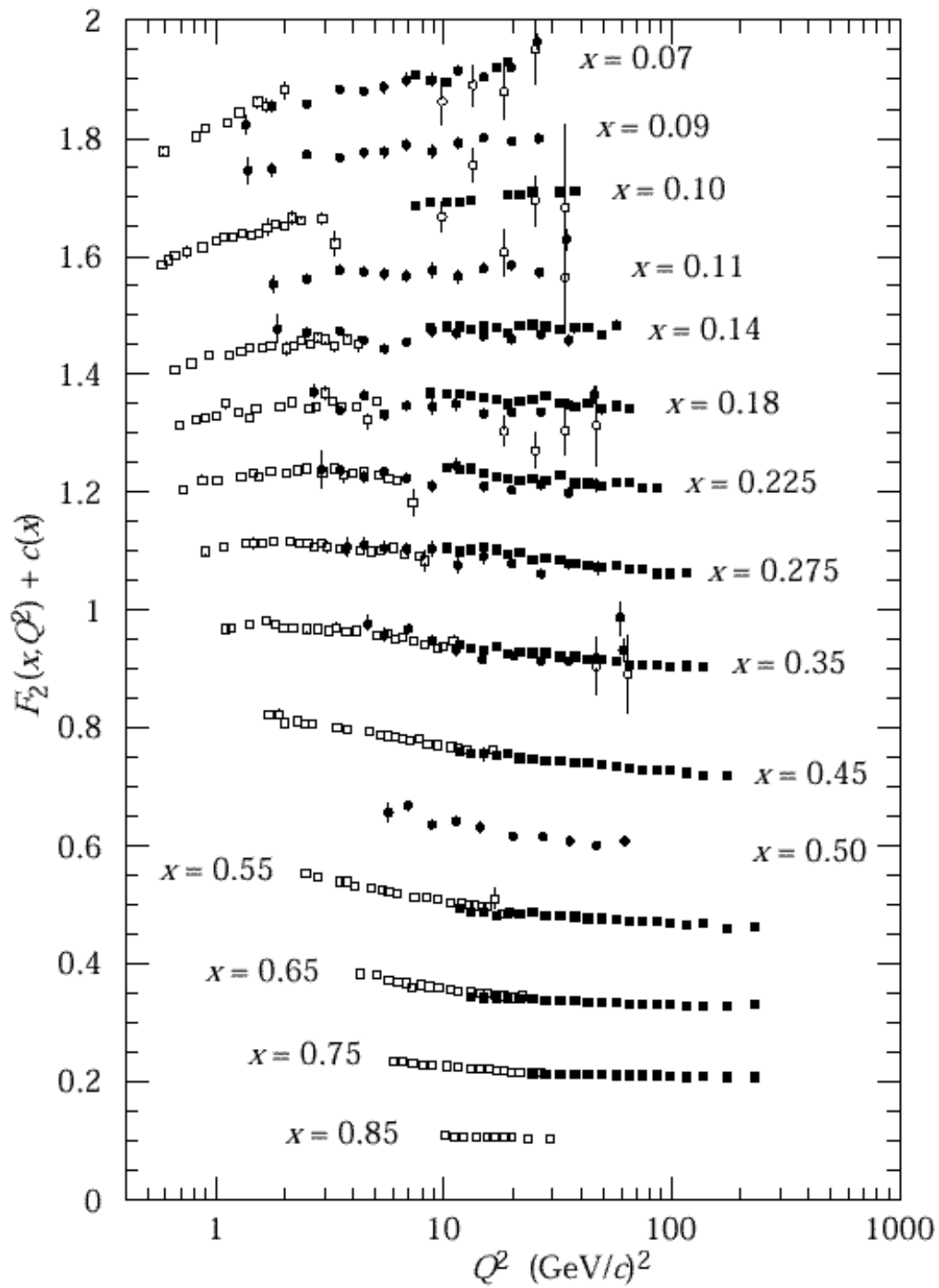


Figure 75: The measured structure function  $F_2$  (compilation of data from different experiments).

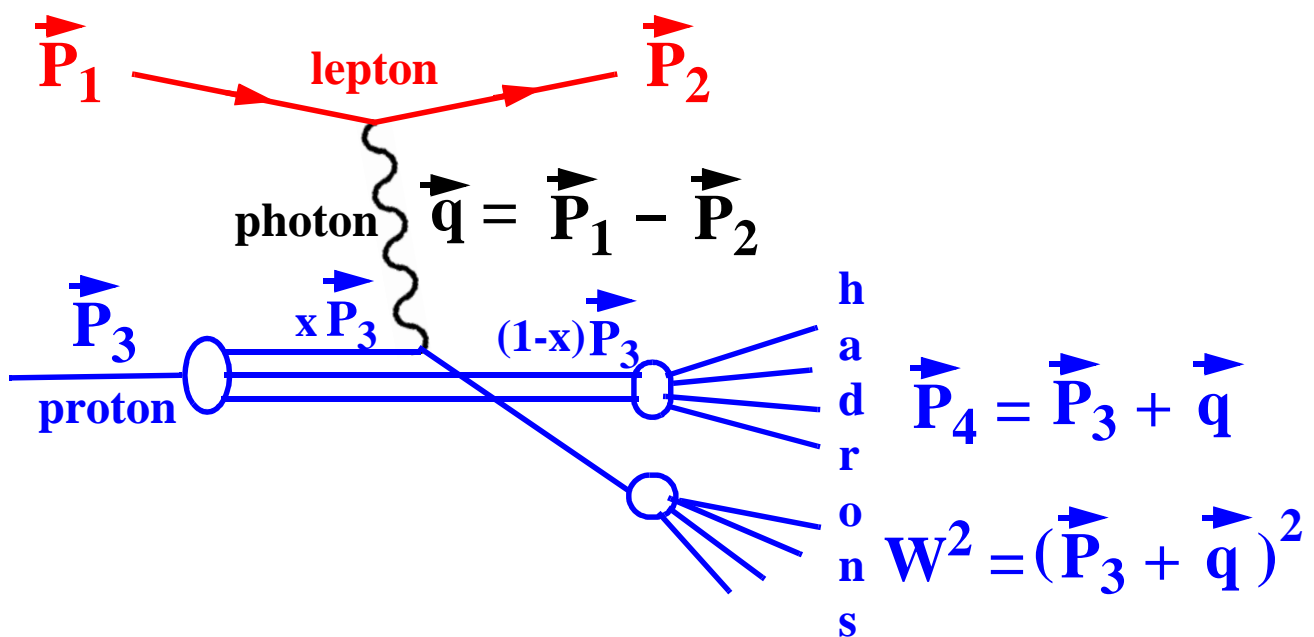
→ The **first observation** of scale invariance in inelastic scattering was observed at **SLAC** in 1969 and was later interpreted as the first evidence for quarks.



Figure 76: Two spectrometers in SLAC's End Station A that were used to discover quarks in the late 1960s.

## Deep inelastic electron-proton scattering.

❖ In the **parton model** the scale invariance is explained by scattering on point-like constituents (partons) in the proton.



→ The parton model is valid if the target proton has a sufficiently large momentum, so that the fraction of the proton **momentum carried by the struck quark** is given by Bjorken  $x$ .

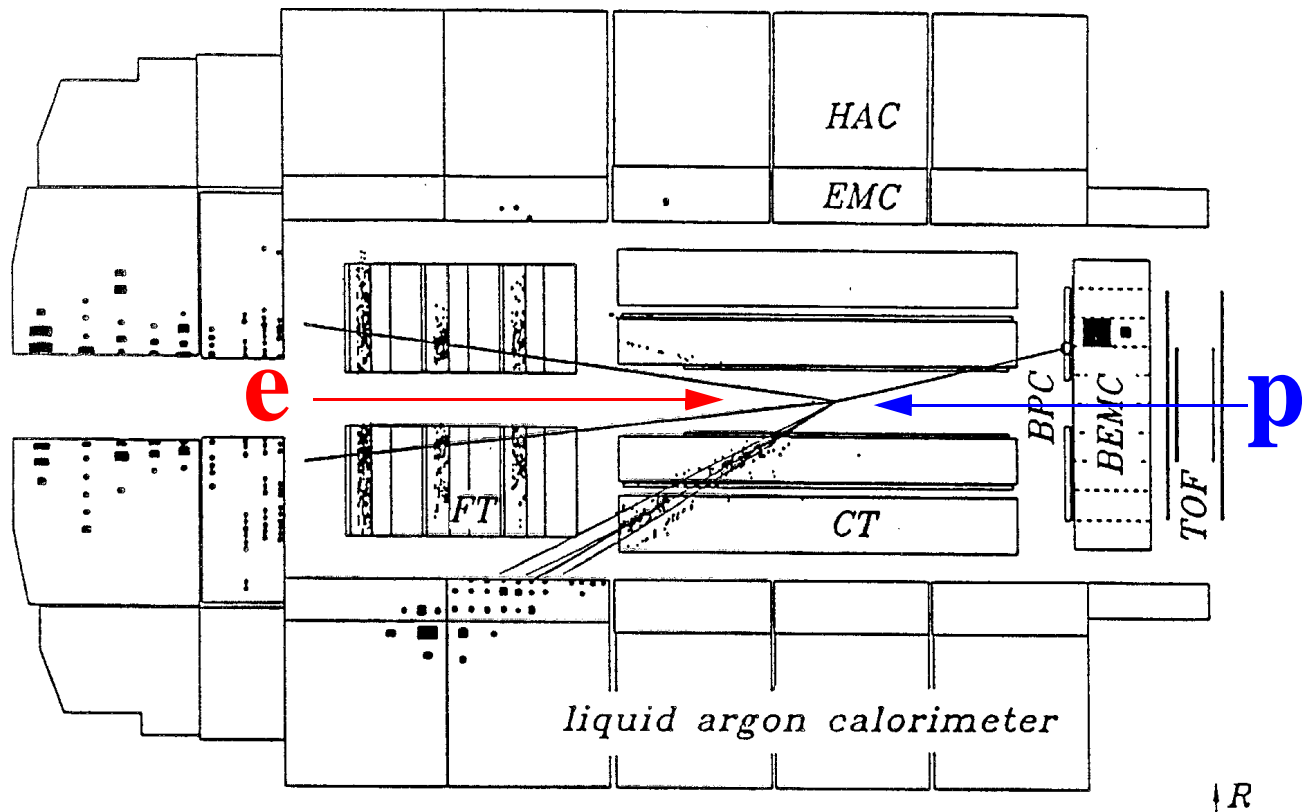


Figure 77: A computer reconstruction of a deep inelastic electron-proton scattering event recorded by the H1 experiment at DESY.

→ In the parton model, the structure function  $F_1$  depends on the spin of the partons:

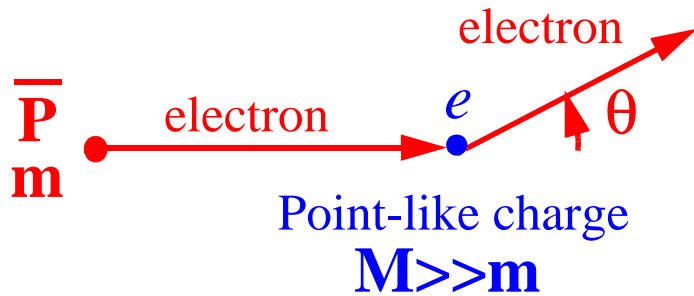
$$F_1(x, Q^2) = 0 \quad (\text{spin-0})$$

$$2xF_1(x, Q^2) = F_2(x, Q^2) \quad (\text{spin-1/2})$$

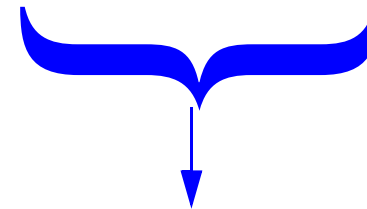
The data favours the second relation (called the Callan-Gross relation) i.e. quarks have spin 1/2.



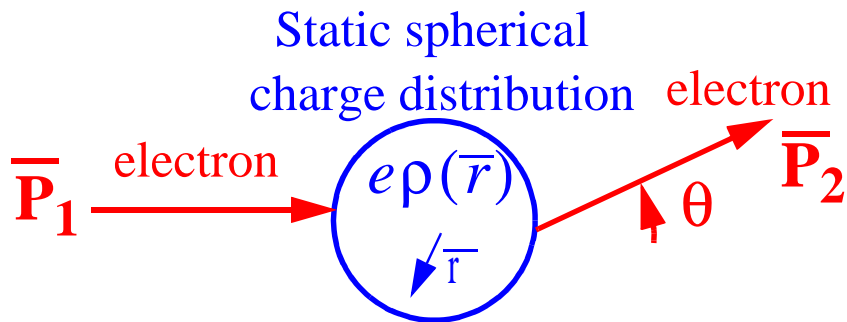
# ELASTIC SCATTERING



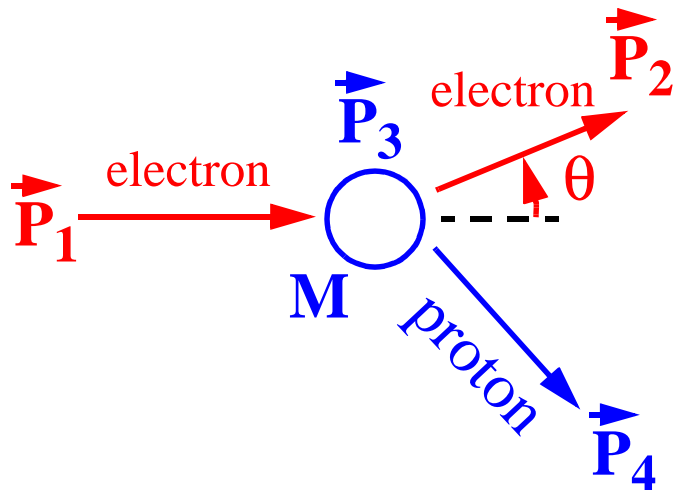
$$\left(\frac{d\sigma}{d\Omega}\right)_M = \frac{\alpha^2}{4p^4 \sin^4\left(\frac{\theta}{2}\right)} \left(m^2 + p^2 \cos^2\frac{\theta}{2}\right)$$



$$\frac{d\sigma}{d\Omega} = \left(\frac{d\sigma}{d\Omega}\right)_R G_E^2(q^2)$$



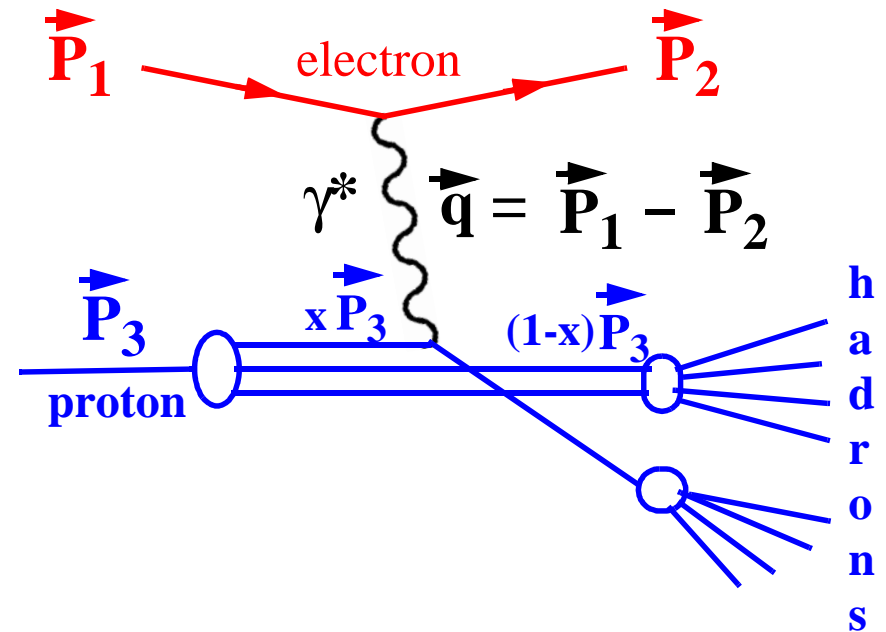
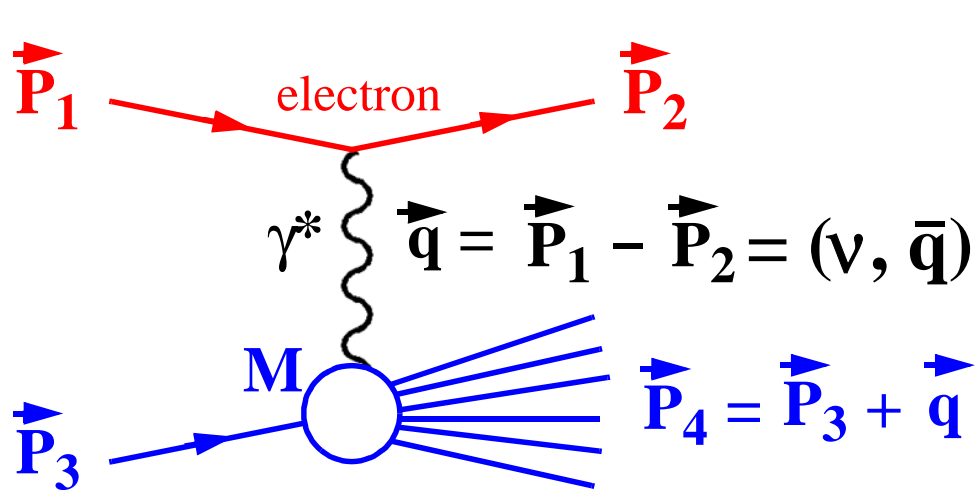
$$\frac{d\sigma}{d\Omega} = \left(\frac{d\sigma}{d\Omega}\right)_M \left( G_1(Q^2) \cos^2\frac{\theta}{2} + \frac{Q^2}{2M^2} G_2(Q^2) \sin^2\frac{\theta}{2} \right)$$



$$G_1(Q^2) = \frac{G_E^2 + \frac{Q^2}{4M^2} G_M^2}{1 + \frac{Q^2}{4M^2}} \quad G_2(Q^2) = G_M^2$$



# INELASTIC SCATTERING



$$Q^2 = -\vec{q} \cdot \vec{q}$$

$$x = \frac{Q^2}{2MV} \quad \text{Bjorken - } x$$

$$\frac{d\sigma}{dE_2 d\Omega} = \frac{\alpha^2}{4E_1^2 \sin^4\left(\frac{\theta}{2}\right)} \cdot \frac{1}{v} \cdot \left[ F_2(x, Q^2) \cos^2\left(\frac{\theta}{2}\right) + \frac{Q^2}{xM^2} F_1(x, Q^2) \sin^2\left(\frac{\theta}{2}\right) \right]$$

## Summary

### • Quantum Chromodynamics

- a) The gauge bosons in QCD are called gluons and are spin 1 particles.
- b) The charge in QCD is called colour and gluons carry colour charge but not electric charge.
- c) The strong interaction is flavour independent.
- d) Colour confinement means that a particle with a colour charge (such as a gluon or a quark) cannot exist as a free particle.

### • The strong coupling constant.

- e) The strong coupling constant  $\alpha_s$  gives the strength of the strong interaction.
- f)  $\alpha_s$  is not a true constant since it depends on  $Q^2$ .

---

- **Electron-positron interactions.**

- g) Quarks are seen as jets of hadrons in electron-positron interactions.
- h) The measured cross section ratio  $R$  can only be explained if there are 3 colours.
- i) A measurement of the angular distribution of jets in two-jet events show that the quark is a spin  $1/2$  particle.
- j) Three-jet events can be used to measure  $\alpha_s$  and to show that the gluon is a spin 1 particle.

- **Elastic electron-proton scattering.**

- k) Elastic electron-proton scattering can be used to measure the size of the proton.
- l) Scattering of electrons on protons depends on an electric and a magnetic form factor.
- m) The measurement of these form factors show that the proton is not a point particle.

---

- **Inelastic lepton-proton scattering.**

- n) Inelastic scattering of electrons on protons depends on two structure functions  $F_1$  and  $F_2$ .
- o) Scale invariance means that these structure functions are almost independent on  $Q^2$ . The scale invariance of  $F_2$  is evidence for the existence of quarks in the proton.

- **Deep inelastic electron-proton scattering.**

- p) The measurement of  $F_1$  show that the quarks have to be spin 1/2 particles.