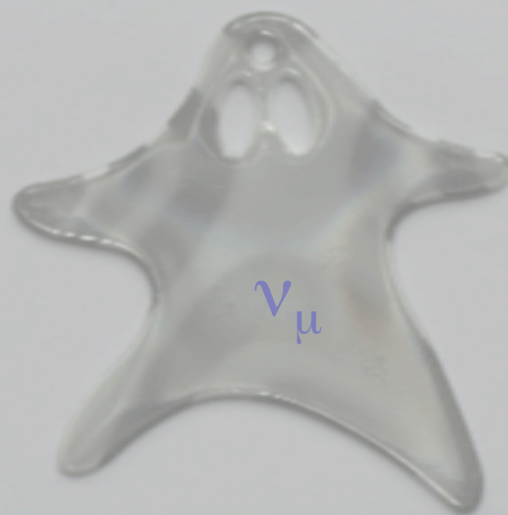


Introduction to Neutrino Physics

Elisabeth Falk

University of Sussex and Lund University



Lecture 1

Neutrino oscillations Part I

Neutrino

- “The little neutral one” in Italian
- A subatomic particle with almost no mass, no charge, no magnetic moment, and which interacts only rarely
- Neutrinos make up the same fraction of mass in the universe as stars and planets do
- They exhibit bizarre behavior when travelling through space: They change form from one type of neutrino to another. No other particle does this

Overview of lecture course

- Introduction to the course and to neutrinos
 - Neutrino oscillation formalism
 - “Solar” neutrinos
 - Atmospheric and long-baseline neutrinos
 - θ_{13} and CP violation
- ~
L1
&
L2+
- Neutrino mass, Majorana neutrinos, the see-saw mechanism
 - Neutrinoless double beta decay: theory and experiment
 - “The neutrino speed of light thing” – OPERA
 - SN1987a (hopefully!)
 - Wrap-up and outlook
- ~
L3-
&
L4
- ~
L5

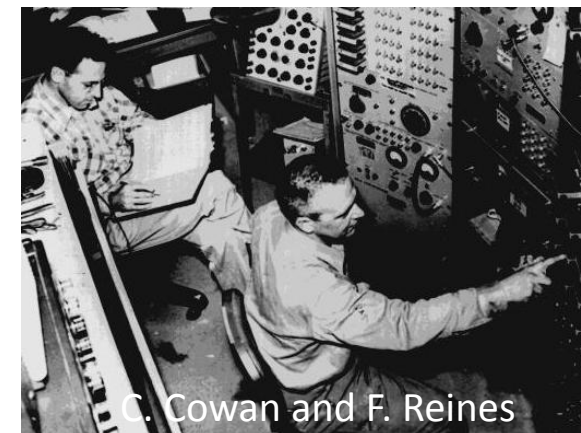
Outline lecture 1

- Neutrinos: introduction and a little history
- Neutrino oscillation formalism:
two- and three-neutrino oscillations
- Solar neutrinos (continued tomorrow)
- Suggested reading

The discovery of the neutrino



- 1920s: Puzzle: Radioactive β decay appears to break energy conservation: electron has continuous spectrum!
- 1930: “Desperate remedy”: Wolfgang Pauli suggests new particle carrying off missing energy without being detected
- 1933: Enrico Fermi formulates comprehensive theory of radioactive decays
 - Pauli’s particle crucial
 - “Neutrino” – the little neutral one
- 1956: Fred Reines and Clyde Cowan detect neutrinos created by nuclear reactor
 - Savannah River, South Carolina, USA
 - Case of champagne from Pauli
 - 1995 Nobel Prize (Reines)



The discovery of the neutrino

- 1962: Leon Lederman, Mel Schwartz and Jack Steinberger detect muon neutrinos
 - Created neutrino beam at accelerator lab (Brookhaven, NY)
 - 1988 Nobel Prize
- 2000: DONUT collaboration sees first direct evidence of tau neutrino
 - Fermilab, Chicago



DONUT Collaboration



Minnesota

D. Ciampa, C. Erickson, M. Graham,
K. Heller, E. Maher, R. Rusack, R. Schwienhorst,
J. Sielaff, J. Trammell, J. Wilcox

Fermilab

B. Baller, D. Boehnlein, W. Freeman,
B. Lundberg, J. Morfin, R. Rameika

Kansas State

P. Berzhaus, M. Kubansteve, N.W. Reay,
R. Sidwell, N. Stanton, S. Yoshida

Pittsburgh

T. Akdogan, V. Paolone

Tufts University

T. Kafka, W. Oliver, T. Patzak, J. Schneps,

South Carolina

A. Kulik, C. Rosenfeld

U. California/Davis

P. Yager

Nagoya University

N. Hashizume, K. Hoshino, H. Iinuma,
H. Jikou, K. Ito, M. Kobayashi, M.
Miyanishi, M. Komatsu, M. Nakamura, K.
Nakajima, T. Nakano, K. Niwa, N. Nonaka,
K. Okada, T. Yamamori

Aichi Univ. of Education

K. Kodama, N. Ushida

Kobe University

S. Aoki, T. Hara

Gyeongsang University

J.S. Song, I.G. Park, S.H. Chung

Kon-kuk University

J.T. Rhee

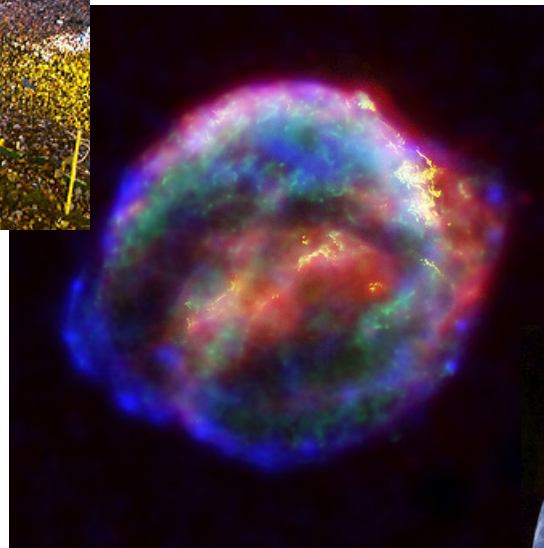
Univ. of Athens

C. Andreopoulos, G. Tzanakos, N. Saoulidou

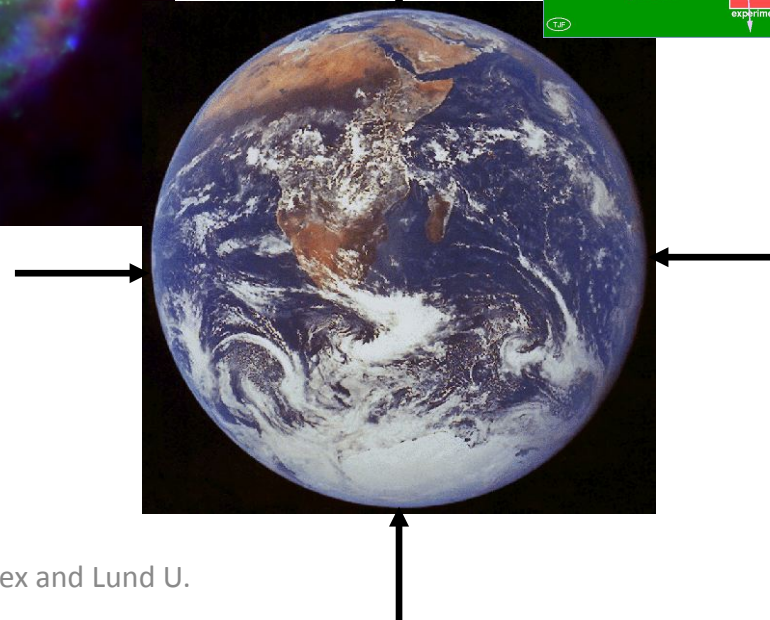
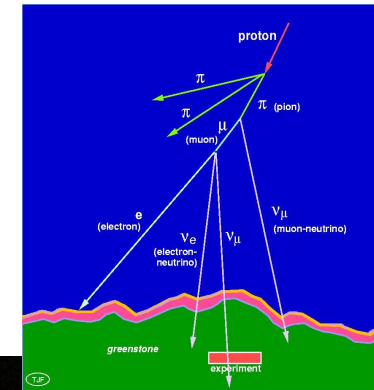
Lots of neutrinos!



Relics from the Big Bang:
30 million neutrinos in each of us!
Together with microwave radiation make up
cosmic background radiation



Supernova explosions

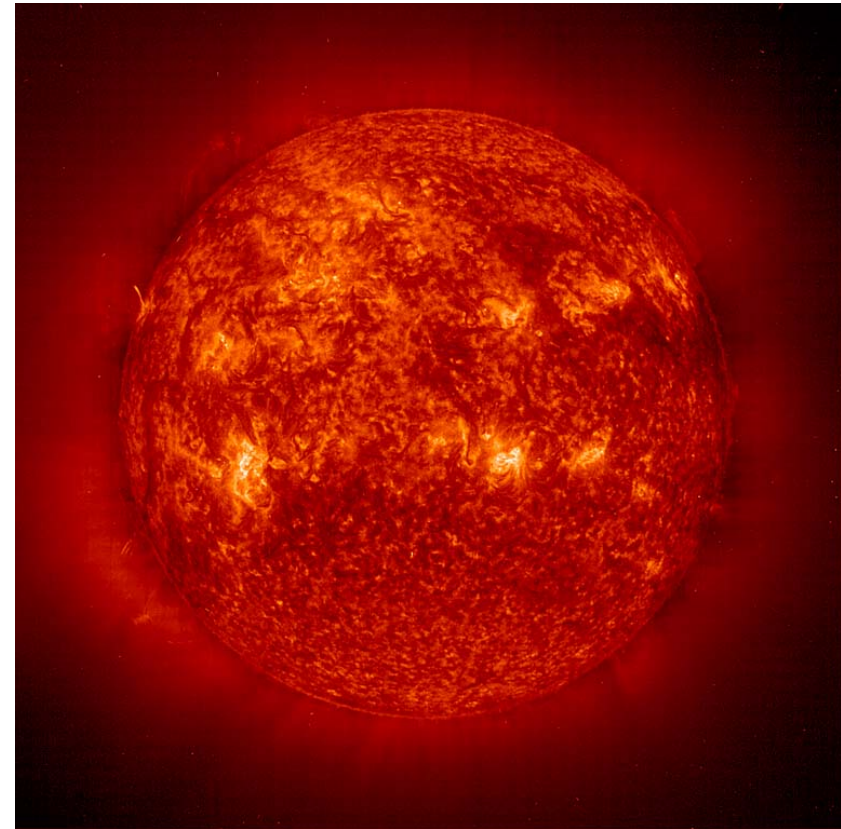


Cosmic rays hitting the atmosphere
produce neutrinos

Lots of neutrinos!

But most of the neutrinos passing through us come from the sun

- Nuclear fusion:
 - Mainly from pp cycle:
4 p combine with 2 e⁻ to form a He²⁺ and 2ν_e
- 100 billion neutrinos from the sun pass through each of your fingernails—every second!



Elusive neutrinos

"The chances of a neutrino actually hitting something as it travels through all this howling emptiness [that is the Earth] are roughly comparable to that of dropping a ball bearing at random from a cruising 747 and hitting, say, an egg sandwich."

-- Douglas Adams, (1952-2001)

This happens to be correct: see

http://faculty.otterbein.edu/NTagg/Otterbein/Publications_files/eggsandwich.pdf

for the calculation

Why do we care?

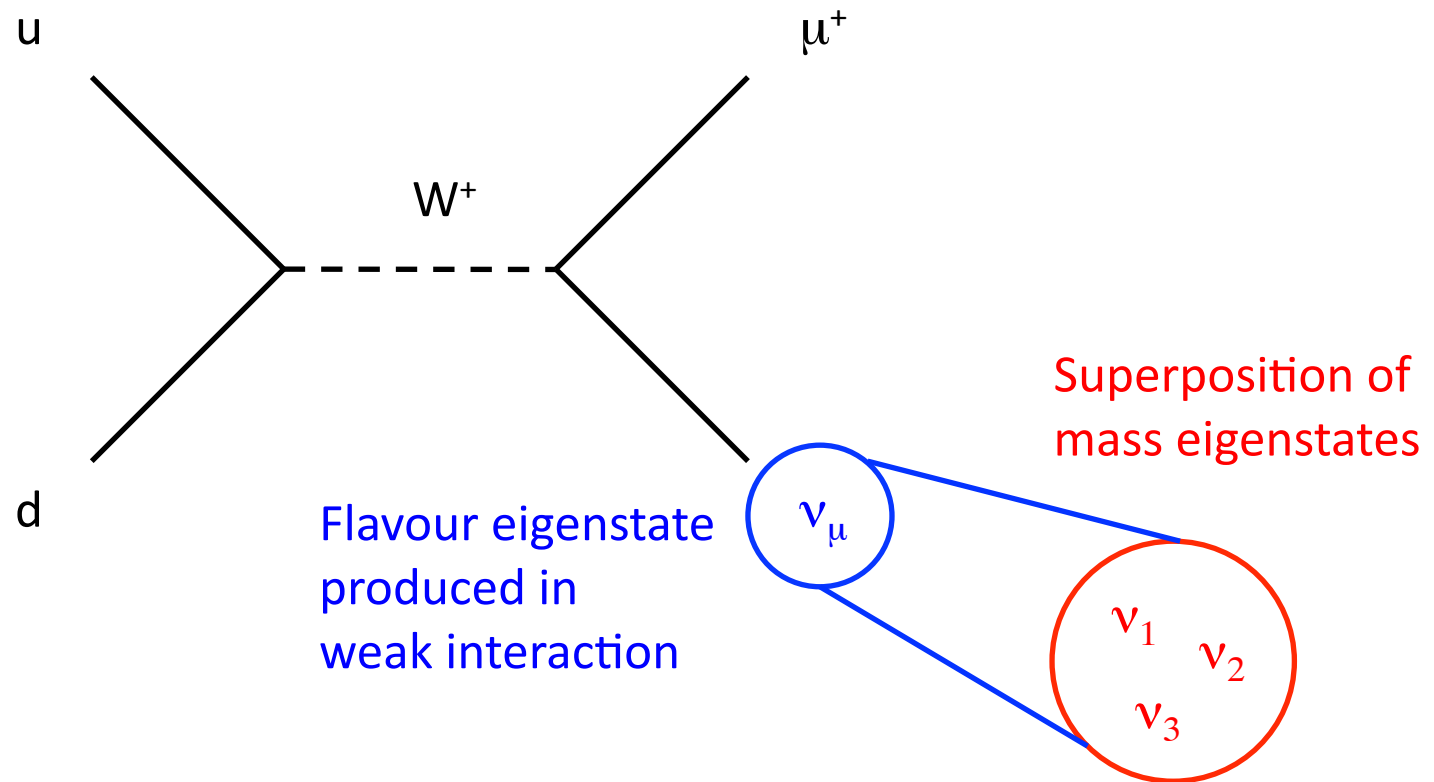
- Fundamental part of nature
- Poorly understood, compared to other nature's other building blocks

For example:

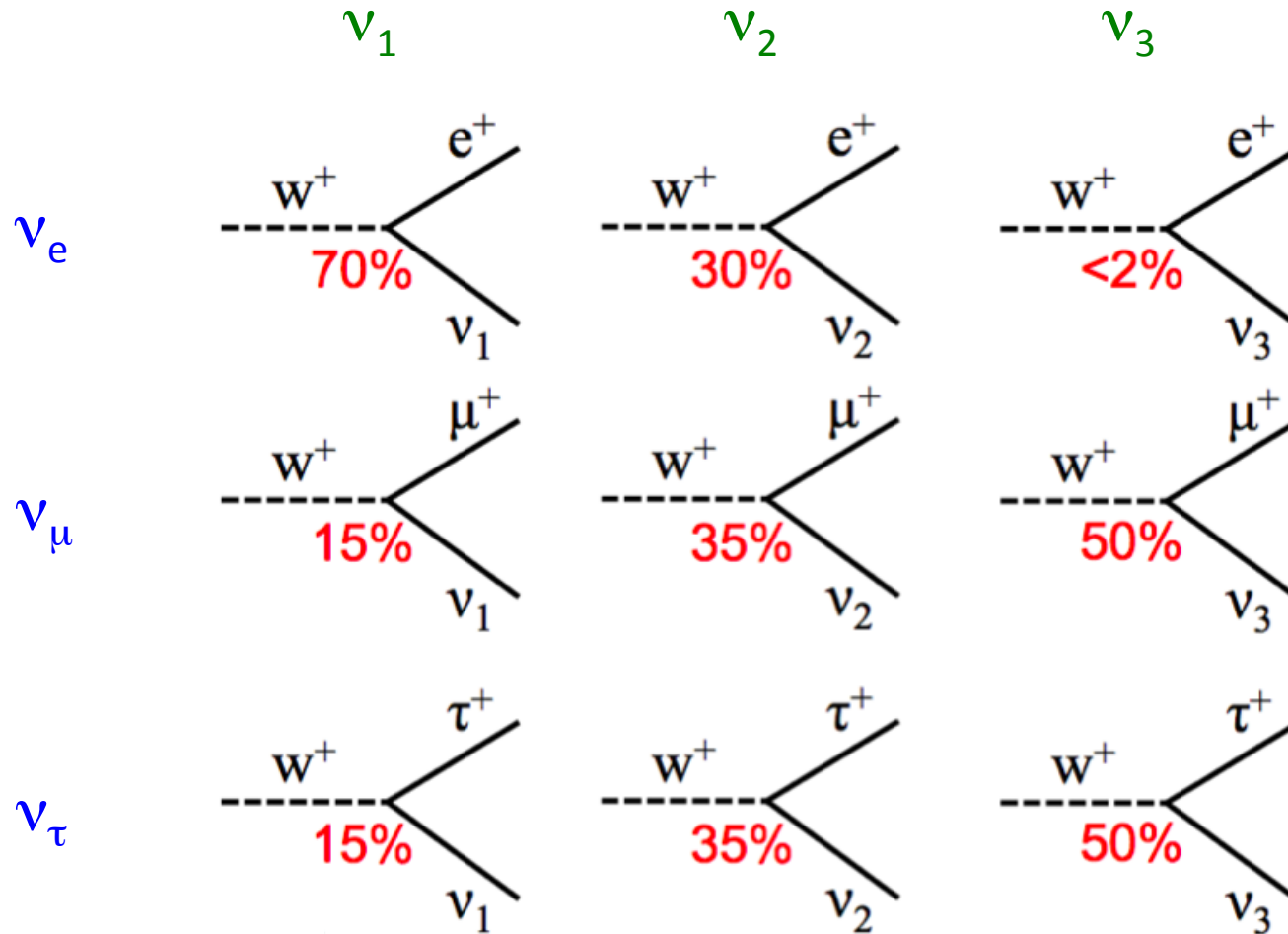
- What are the neutrino masses?
- What is the pattern for neutrino flavour mixing?
- Is the neutrino its own antiparticle?
 - The ultimate neutral particle
- Do neutrinos violate CP?
- Do neutrinos constitute dark matter?
- What can neutrinos and the universe tell us about each other?
- Can neutrinos help explain the matter-antimatter asymmetry in the universe?

Massive neutrinos

Neutrino mass eigenstates are not the same as the flavour eigenstates



Current situation



Neutrino oscillations: concept

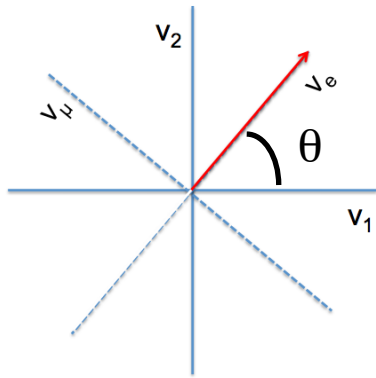
- Write down the relation between mass eigenstates and flavour eigenstates as a rotation with angle θ :

$$\begin{pmatrix} \nu_e \\ \nu_\mu \end{pmatrix} = \begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \end{pmatrix}$$

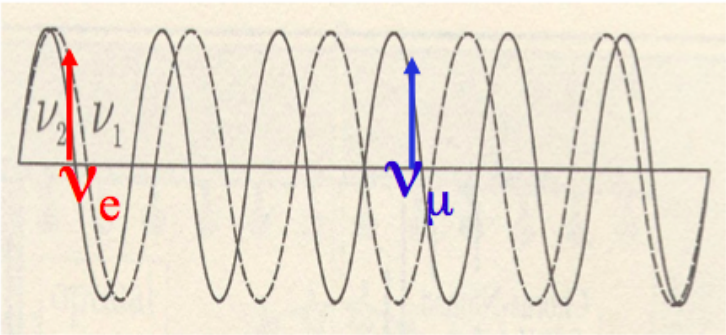
- The mass eigenstates have different momenta and thus travel at slightly different speeds: get out of phase
 - IF masses are different!
 - Assumption: energy is the same
- Detection probability for a given flavour changes with distance travelled

Neutrino oscillations: concept

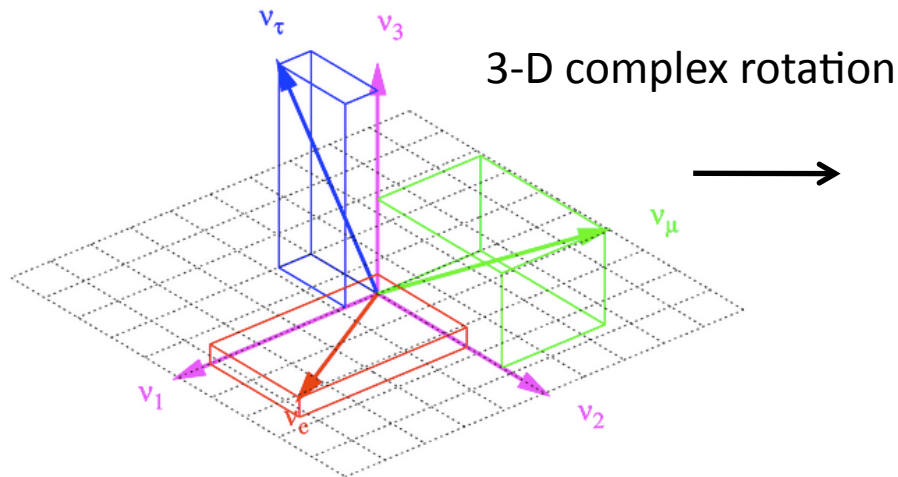
Two flavours:



$$\begin{pmatrix} \nu_e \\ \nu_\mu \end{pmatrix} = \begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \end{pmatrix}$$

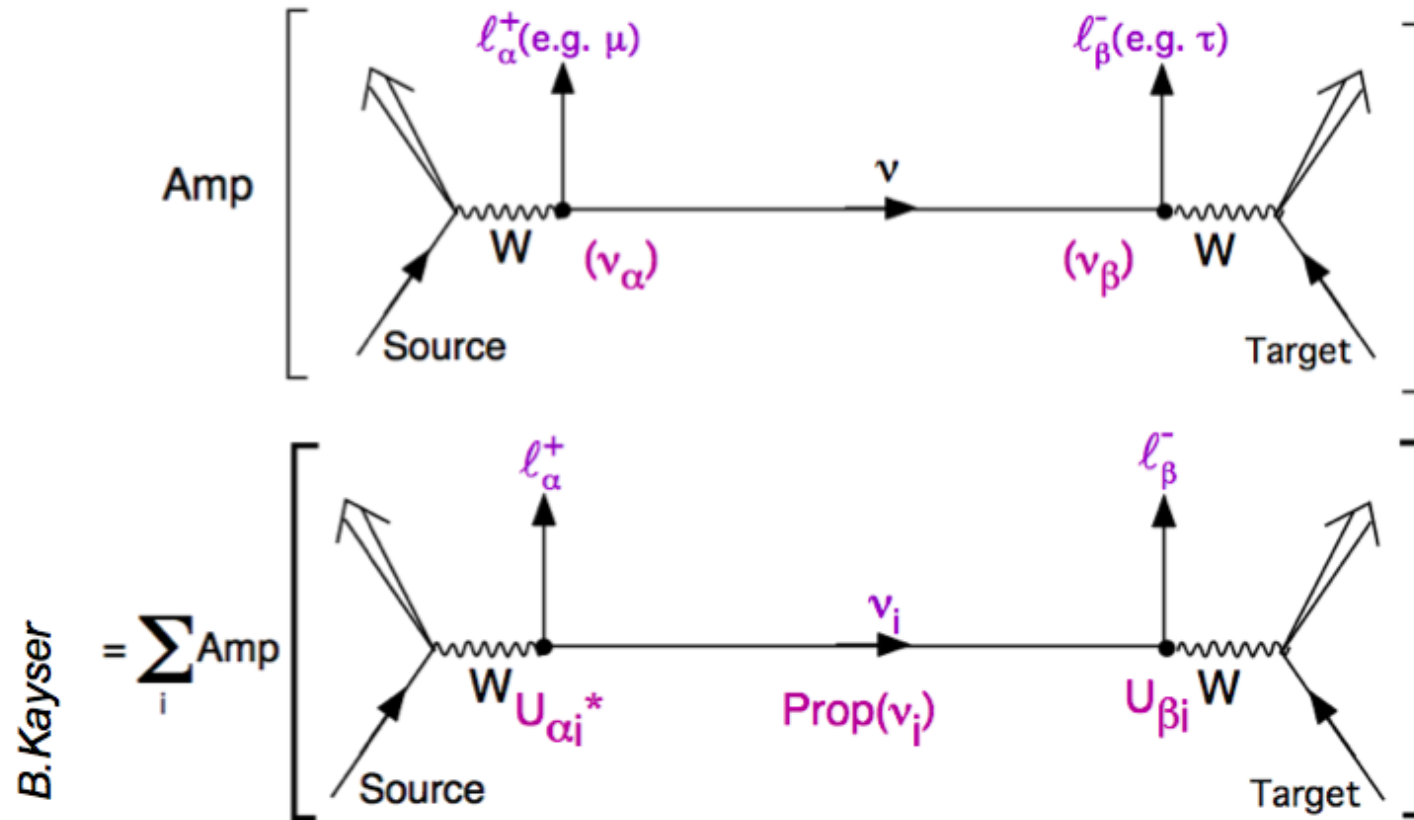


Three flavours:



$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu1} & U_{\mu2} & U_{\mu3} \\ U_{\tau1} & U_{\tau2} & U_{\tau3} \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

Oscillation amplitude



Outline of derivation

1. Weak eigenstates ν_α in terms of mass eigenstates ν_k :

$$|\nu_\alpha\rangle = \sum_k U_{\alpha k}^* |\nu_k\rangle \quad (\alpha = e, \mu, \tau)$$

Assuming plane wave

$$|\nu_k(t)\rangle = e^{-iE_k t} |\nu_k\rangle$$

where energy eigenvalues: $E_k = \sqrt{\vec{p}^2 + m_k^2}$

2. Transition probability $\nu_\alpha \rightarrow \nu_\beta$ from amplitude squared:

$$P_{\nu_\alpha \rightarrow \nu_\beta}(t) = |A_{\nu_\alpha \rightarrow \nu_\beta}(t)|^2 = \sum_{k,j} U_{\alpha k}^* U_{\beta k} U_{\alpha j} U_{\beta j}^* e^{-i(E_k - E_j)t}$$

3. For ultrarelativistic neutrinos ($E \gg m$):

Neglecting mass contribution

$$E_k - E_j \simeq \frac{\Delta m_{kj}^2}{2E} \quad \text{where} \quad \Delta m_{kj}^2 \equiv m_k^2 - m_j^2 \quad \text{and} \quad E = |\vec{p}|$$

4. Using distance travelled L instead of time t ($t = L/c$, as neutrino speed $\approx c$):

$$P_{\nu_\alpha \rightarrow \nu_\beta}(L, E) = \sum_{k,j} U_{\alpha k}^* U_{\beta k} U_{\alpha j} U_{\beta j}^* \exp\left(-i \frac{\Delta m_{kj}^2 L}{2E}\right)$$

Two-neutrino oscillations

Using

$$U = \begin{pmatrix} \cos \vartheta & \sin \vartheta \\ -\sin \vartheta & \cos \vartheta \end{pmatrix}$$

with result from previous slide,

$$P_{\nu_\alpha \rightarrow \nu_\beta}(L, E) = \sum_{k,j} U_{\alpha k}^* U_{\beta k} U_{\alpha j} U_{\beta j}^* \exp\left(-i \frac{\Delta m_{kj}^2 L}{2E}\right)$$

transition probability becomes:

$$P_{\nu_\alpha \rightarrow \nu_\beta}(L) = \frac{\sin^2 2\theta}{2} \left[1 - \cos\left(2\pi \frac{L}{L^{osc}}\right) \right] \quad L^{osc} = \frac{4\pi E}{\Delta m^2}$$

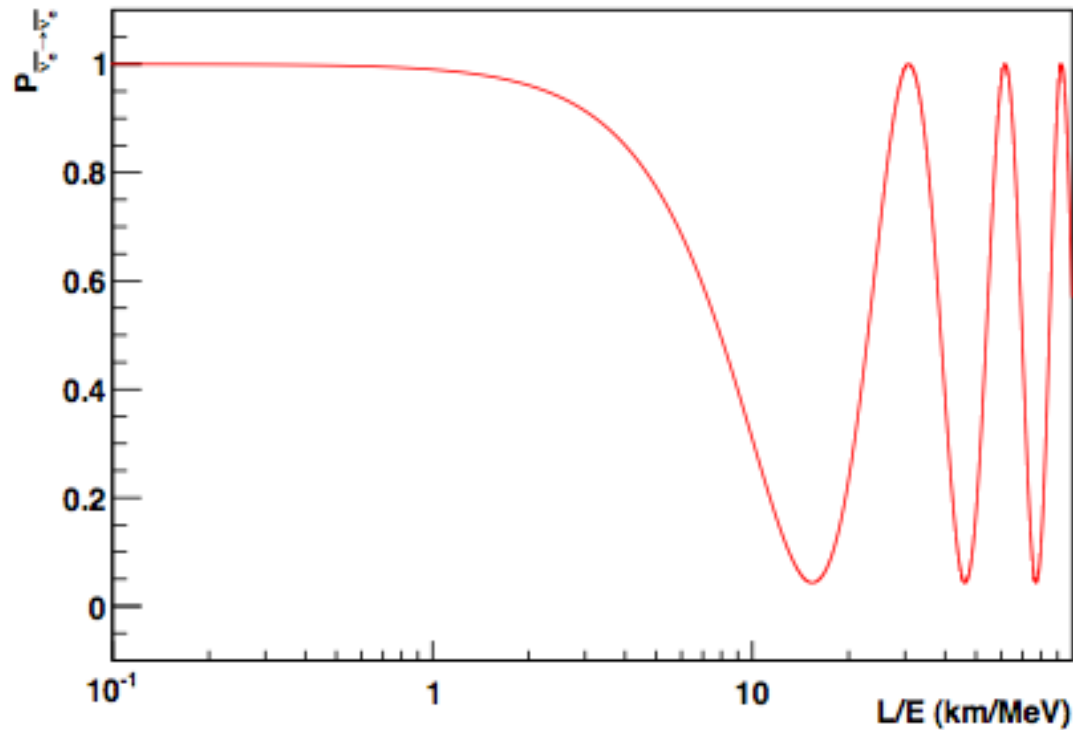
Physical constants

$$\Leftrightarrow P_{\nu_\alpha \rightarrow \nu_\beta}(L) = \sin^2 2\theta \sin^2 \left(\frac{\Delta m^2 L}{4E} \right)$$

Experimental parameters

Two-neutrino oscillations

Reactor anti-neutrino, 1 MeV



$$\Delta m_{12}^2 = 8 \times 10^{-5} \text{ eV}^2$$

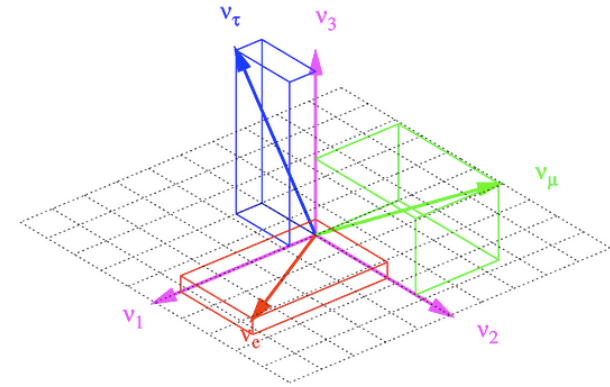
$$\theta_{12} = 39^\circ$$

$$\Leftrightarrow P_{\nu_\alpha \rightarrow \nu_\beta}(L) = \sin^2 2\theta \sin^2 \left(\frac{\Delta m^2 L}{4E} \right)$$

Three-neutrino oscillations

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \underbrace{\begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu1} & U_{\mu2} & U_{\mu3} \\ U_{\tau1} & U_{\tau2} & U_{\tau3} \end{pmatrix}}_{U_{\text{PMNS}}} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

Pontecorvo-Maki-Nakagawa-Sakata



Mixing matrix U_{PMNS} can be factored into three 2-D rotational matrices $\times U_{\text{maj}}$ (diagonal, so not relevant for oscillations)

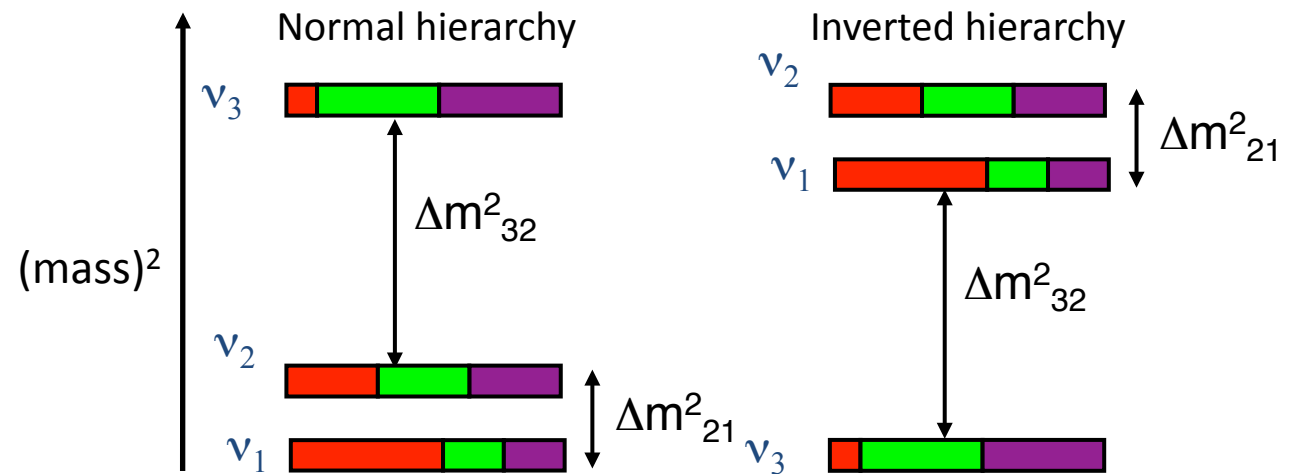
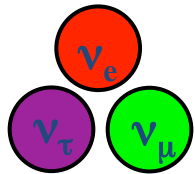
Three independent mixing angles

CP-violation phase

$$U_{\text{PMNS}} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos\theta_{23} & \sin\theta_{23} \\ 0 & -\sin\theta_{23} & \cos\theta_{23} \end{pmatrix} \times \begin{pmatrix} \cos\theta_{13} & 0 & e^{-i\delta_{\text{CP}}} \sin\theta_{13} \\ 0 & 1 & 0 \\ -e^{-i\delta_{\text{CP}}} \sin\theta_{13} & 0 & \cos\theta_{13} \end{pmatrix} \times \begin{pmatrix} \cos\theta_{12} & \sin\theta_{12} & 0 \\ -\sin\theta_{12} & \cos\theta_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \times U_{\text{Maj}}^{\text{diag}}$$

Mass hierarchy

We don't know the ordering the mass splittings Δm^2 –
but we do know that $\nu_2 \gg \nu_1$



Current knowledge

“Atmospheric” from atmosphere and accelerators

“Subdominant” or “third” CP-violation phase = ?

“Solar” from sun + reactors

$$U_{\text{PMNS}} = \underbrace{\begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos\theta_{23} & \sin\theta_{23} \\ 0 & -\sin\theta_{23} & \cos\theta_{23} \end{pmatrix}}_{\text{Well measured:}} \times \underbrace{\begin{pmatrix} \cos\theta_{13} & 0 & e^{-i\delta_{\text{CP}}} \sin\theta_{13} \\ 0 & 1 & 0 \\ -e^{-i\delta_{\text{CP}}} \sin\theta_{13} & 0 & \cos\theta_{13} \end{pmatrix}}_{\text{Until this summer } \sim \text{unknown:}} \times \underbrace{\begin{pmatrix} \cos\theta_{12} & \sin\theta_{12} & 0 \\ -\sin\theta_{12} & \cos\theta_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix}}_{\text{Well measured:}} \times U_{\text{Maj}}^{\text{diag}}$$

Well measured:

$\theta_{23} = (45 \pm 7)^\circ$
 -> \sim equal mixing of ν_μ and ν_τ

$\Delta m_{32}^2 \approx \Delta m_{\text{atm}}^2 = 2.4 \times 10^{-3} \text{ eV}^2$

Until this summer \sim unknown:

$\theta_{13} < \sim 10^\circ$
 Now $\sim 3\sigma$ indications that $\theta_{13} < 10^\circ$

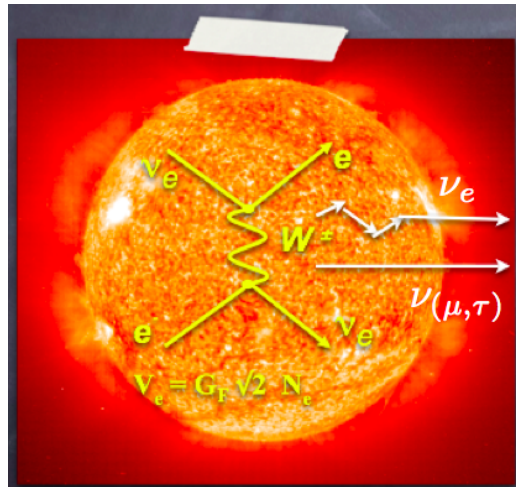
$|\Delta m_{31}^2| \approx \Delta m_{32}^2$
 but $\Delta m_{31}^2 > 0$ or < 0
 (normal or inverted hierarchy)?

Well measured:

$\theta_{12} = (34 \pm 3)^\circ$
 -> ν_1 is predominantly ν_e
 $\Delta m_{21}^2 = 7.6 \times 10^{-5} \text{ eV}^2$
 $\nu_2 > \nu_1$ (sign of Δm_{21}^2)
 from matter effects in sun

Matter oscillations

Linc Wolfenstein
(1978)



MSW effect:
Electron neutrinos feel a “drag”
due to extra contribution
from W exchange

1. Low electron density
(the Earth):

$$P_{\nu_\alpha \rightarrow \nu_\beta} = \sin^2 2\theta_M \sin^2 \left(\frac{\Delta m_M^2 L}{4E} \right)$$

Effective θ_M and Δm_M^2

$$\sin^2 2\theta_M = \frac{\sin^2 2\theta}{\sin^2 2\theta + (\cos 2\theta - x)^2}$$

$$\Delta m_M^2 = \Delta m^2 \sqrt{\sin^2 2\theta + (\cos 2\theta - x)^2}$$

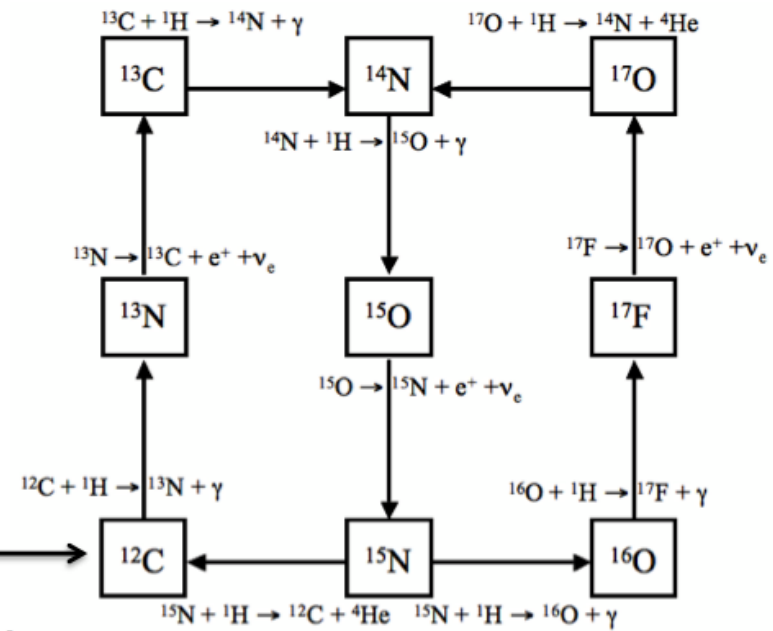
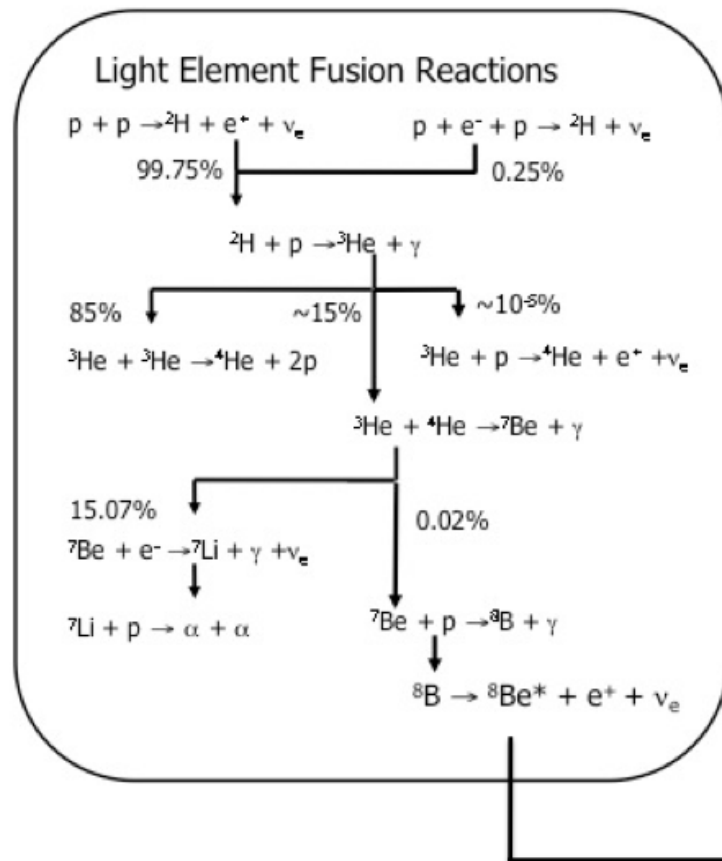
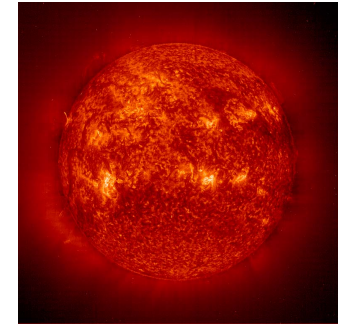
$$x = \frac{2\sqrt{2}G_F N_e E}{\Delta m^2}$$

N_e = electron density

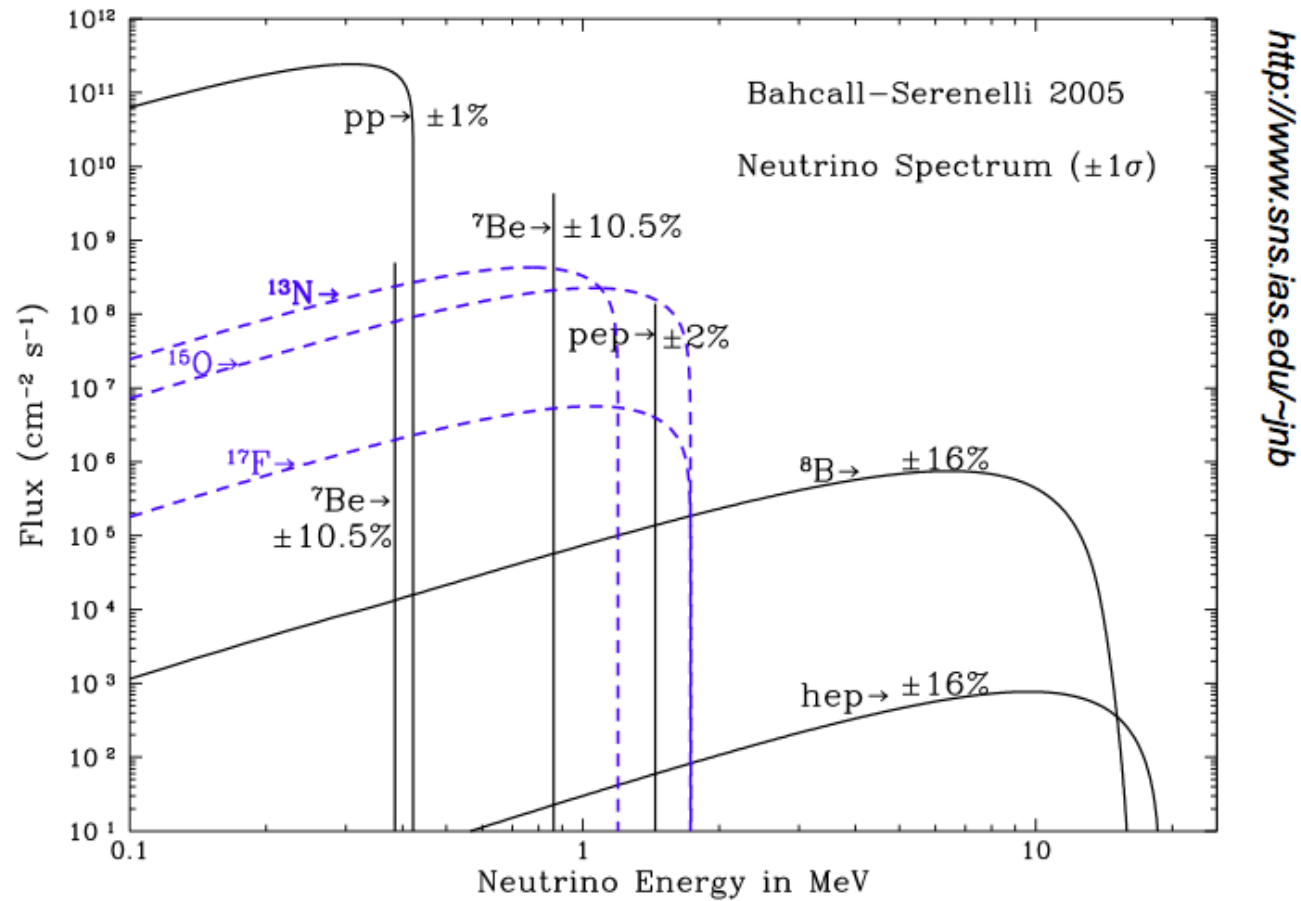
2. Resonant MSW:
 $\theta_M = \pi/4$
Total transition
between two flavours

3. Varying N_e (the Sun):
 $d\theta_M/dx \neq 0$
Adiabatic transition
between effective mass
eigenstates

Energy production in the sun

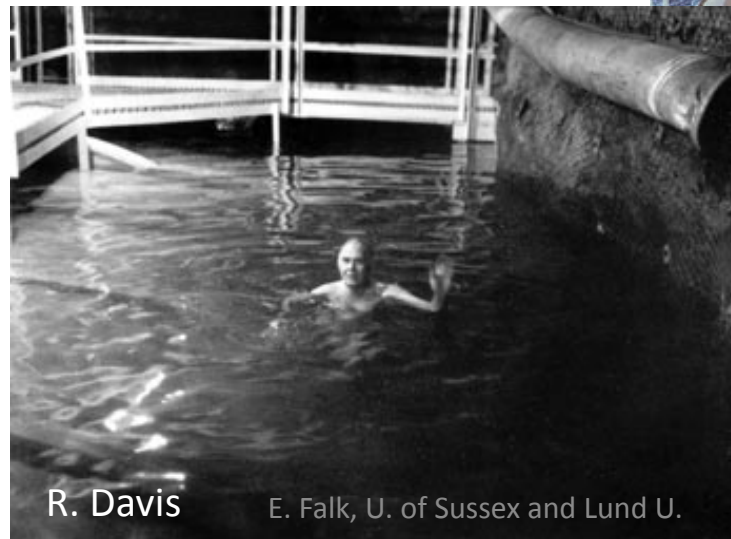


Neutrino production in the sun



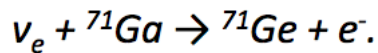
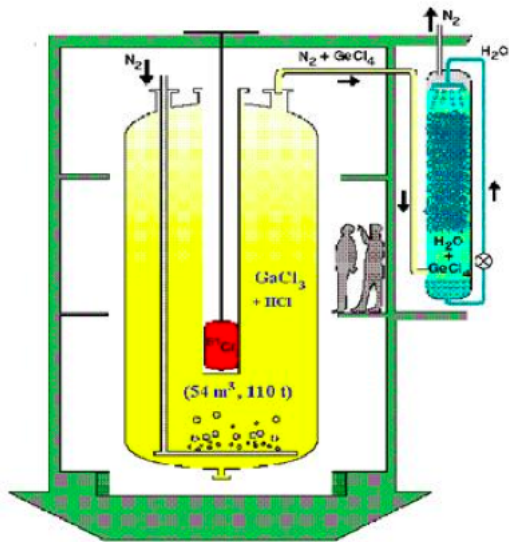
40 years ago: Homestake chlorine experiment

- First experiment to study neutrinos from the sun
- Homestake Gold Mine, South Dakota, USA
- Big tank of chlorine-based cleaning fluid
 - $\nu_e + {}^{37}\text{Cl} \rightarrow {}^{37}\text{Ar} + e^-$
- Counted electron neutrinos (ν_e)
- Saw 1/3 of neutrinos expected from luminosity
 - The “solar neutrino problem”
- Ray Davis: Nobel Prize 2002



Experimental techniques

Gallium experiments



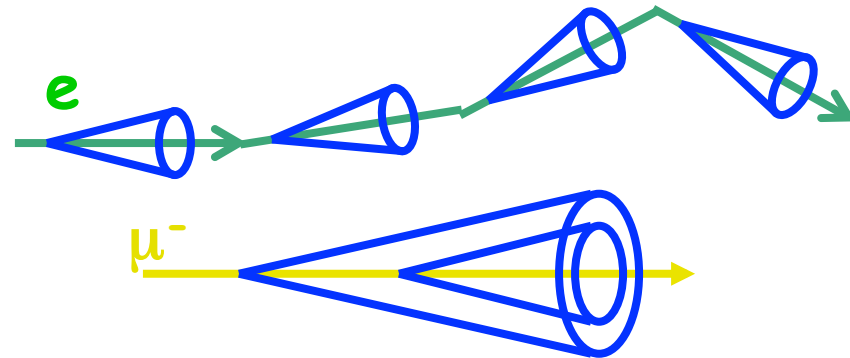
Liquid-scintillator detectors

Neutrinos: Elastic scattering on electrons

Anti-neutrinos (reactor):

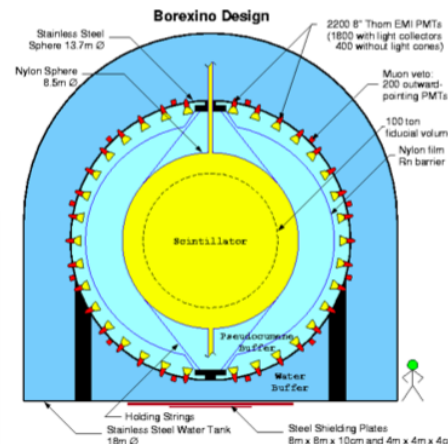


Water Cherenkov experiments



Elastic scattering of neutrinos on electrons
 Charged lepton produces Cherenkov radiation
 CC contribution 6.8 x NC contribution

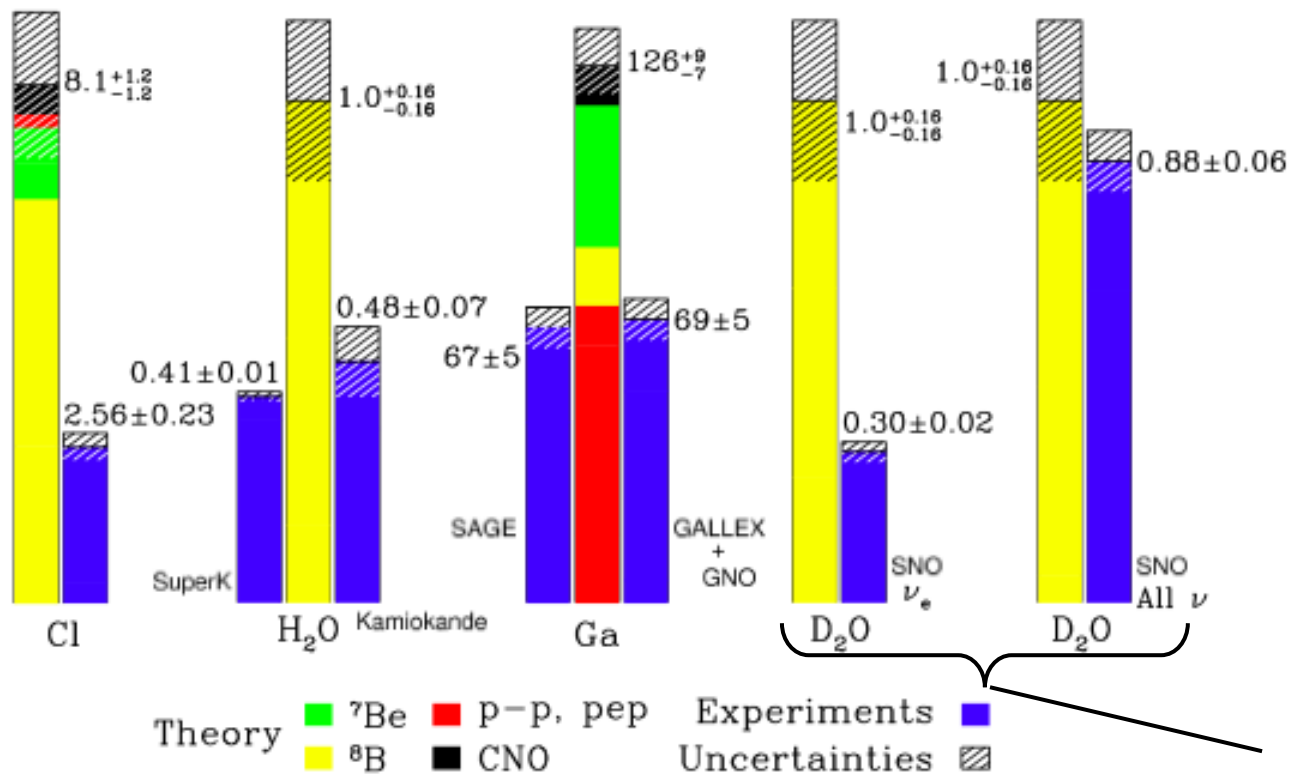
→ much enhanced for ν_e



Different ν energy thresholds with different techniques

The solar neutrino problem

Total Rates: Standard Model vs. Experiment
Bahcall-Serenelli 2005 [BS05(OP)]



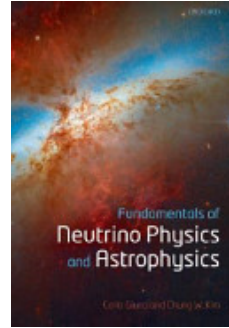
<http://www.sns.ias.edu/~jnb>

Solved in 2002 by SNO
More in Lecture 2

Recap and outlook

- The neutrino is the least understood of our fundamental particles, but may hold the answer to many exciting questions about the universe as well as particle physics
- Neutrinos oscillate between different flavours because they have non-zero mass AND their mass eigenstates are different from their flavour eigenstates
- The study of neutrinos from the sun showed an apparent deficit of neutrinos – we know now that it is due to oscillations
- More on neutrino oscillations tomorrow!

Suggested reading

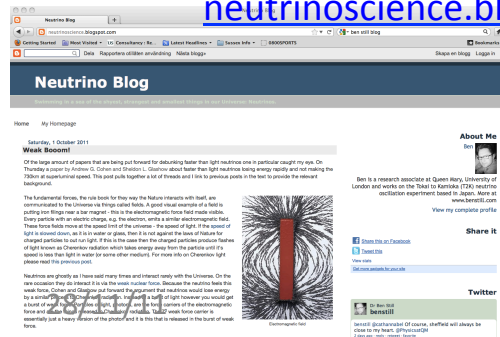


- C. Giunti and C. W. Kim, **Fundamentals of Neutrino Physics and Astrophysics**, Oxford University Press 2007



- F. Close, **Neutrino**, Oxford University Press 2010

- Derivation of neutrino oscillations: PhD theses, .e.g., B. Still, T2K ND280 π_0 Electromagnetic Calorimeter, University of Sheffield 2009
 – Ben also runs a neutrino blog: <http://neutrinoscience.blogspot.com/>



Particle Data Group review: <http://pdg.lbl.gov/2010/reviews/rpp2010-rev-neutrino-mixing.pdf>

- H. Lipkin, Neutrino oscillations as two-slit experiments in momentum space, *Phys. Lett. B* 477 (2000) 195-2000
- B. Kayser, On the quantum mechanics of neutrino oscillation, *Phys. Rev. D* 24 (1981) 110-116
- B. Kayser, Neutrino Oscillation Phenomenology, <http://arxiv.org/pdf/0804.1121>
- Neutrino Oscillation Industry: <http://www.hep.anl.gov/ndk/hypertext>

Back-ups